

DataSys 2024 - ACCSE 2024

VENEZIA MESTRE 14-18 April 2024

### dPIDs - the Emerging Persistent Identification Technology for FAIR and the Digital Era

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# IARIA

#### Presenter

#### **Andrey Vukolov**

- Software developer, industrial automation programmer, robotics engineer at <u>Elettra</u> <u>Sincrotrone Trieste</u>.
- Data Stewardship engineer and expert of <u>ExPaNDS</u> project of <u>European Open Science Cloud</u>.
- Andrey works on <u>IPFS</u>, <u>Git</u> and <u>PID problems</u> as side activities beyond the general work at Elettra.
- IPFS and <u>Decentralised Science</u> problems are in Andrey's scope as outcomes of <u>ExPaNDS</u>.





### Working Group: dPID.org

### https://dpid.org

- The working group is developing <u>decentralised</u>, <u>deterministically resolvable</u>, <u>sustainable</u>, <u>openly reproducible</u> **persistent identifier** for any kind of digital entity or metadata. Guided and driven by <u>DeSci Labs</u>.
- The work of dPID project is based on well-established, known and documented <u>distributed technologies</u>, such as IPFS, Blockchain, WWW Identification standards.
- dPID leverages the core concepts of FAIR, making data and metadata, providing <u>scalable</u>, <u>versioned</u> identification that reduces dependencies from social contracts and institution-driven systems.

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### **Prerequisites & Problems**

- The first of the 15 FAIR Principles, <u>Principle F1</u>, states that "Data and metadata must have globally unique, persistent, and resolvable identifiers".
- Before 2030, the quantities of the PIDs needed to be minted, likely increase to trillions.
- The average scientific outcome may contain hundreds of thousands of data entities, including code, data, reviews, sensemaking statements, etc., both created by humans and captured automatically.
- The upcoming world of FAIR science the social aspects of persistence are becoming less important than the technical aspects.
- Growth of quantities of PIDs to mint reduces ability of the social contracts to ensure persistence.



### **PID Landscape: Providers**

Provider	PID technology	Entities	Centralization
DataCite <u>https://datacite.org/</u>	DOI	General purpose	Federated
Crossref <u>https://www.crossref.org/</u>	DOI	Publications, funders	Federated
ePIC <u>https://www.pidconsortium.net/</u>	Handle	Metadata in all plaintext schemas	Federated
IGSN <u>https://www.igsn.org/</u>	Handle	Experimental samples	Federated
ORCID <u>https://orcid.org</u>	Bespoke (custom)	Persons	Centralized
FigShare PID <u>https://figshare.com/</u>	DOI	Research artifacts	Federated
Zenodo <u>https://zenodo.org</u>	DOI	Publications, digital research artifacts	Federated
EUDAT B2SHARE <u>https://b2share.eudat.eu/</u>	Handle, DOI	Datasets, digital research artifacts	Federated
FAIRshare <u>https://fairsharing.org/</u>	DOI	Datasets, policies, standards	Federated
SWHID <u>https://docs.softwareheritage.org/</u>	SHA1-based Merkle DAG	Software artifacts, versioned repositories	Federated, decentralized
ROR <u>https://ror.org/</u>	Bespoke (custom)	Research institutions	Centralized
RAiD <u>https://www.raid.org.au/</u>	Handle (custom, prefixed)	Funders, organizations, persons, instruments, datasets	Centralized

# PID Landscape: Centralized Approach



### PID Landscape: Centralized Approach

- At scales of ~1-10M of minted PIDs the centralized approach should be considered fit to its purpose.
- Having **Global PID Registry** as a basic entity, implements a low number of high-risk singular points of failure.
- Centralized PID governance authorities are <u>the only provenance holders</u> of the underlying record, metadata, and addressed data in the case of **irreproducible PID with closed generation schema**.
- The currently implemented centralised PID systems <u>obtain their persistency</u> from the social contracts, so link rot, inconsistent resolution, content drift, etc. prevail.

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### **PID Landscape: Federated Approach**

- Combines the existing centralized governance model with a federated social-driven network of formally independent PID registrars.
- Implements <u>prefix-based resolution model</u> with segmented social network where **every registrar is responsible for the dedicated prefix/segment**.
- Each registrar maintains its own provenance authority.
- Most popular implementation model in the modern world with non-reproducible PIDs (DOI, Handle).
- Allows expansion of the computational power of the entire system, primarily in the aspect of data replication.
- Limited support for interoperability and cross resolution (UNIRESOLVER).





## IARIA PID Landscape: Decentralised Approach

In a decentralized PID system, the social persistence of a PID is as strong as the technical prevalence of the network nodes, providing self-describing addressing, resolution and consistent data.

- Necessitates <u>uniformity in backend technology</u> and storage models across all resolvers and PID generators, likely <u>deployed locally</u> on the end-user's side.
- Replaces asking a single resolution point: "What is the content stored at this location?", with asking a swarm P2P network: "Can you tell me how to find the content to reproduce my PID?".
- Utilizes <u>special technologies</u> like DHT to obtain mathematically-driven delivery of binary data objects stored "everywhere" in the P2P network.

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### dPID: Decentralized Persistence

Decentralized Persistent Identifier (**dPID**) is a technology that combines <u>distributed</u> software components and technologies into the novel data identification pipeline:

- InterPlanetary File System (IPFS) creates immutable, mathematically proven storage layer for any kind of data which could be represented as a bitstream. Content Identifiers (CID) in the IPFS network are immune to content drift and drastically mitigate the effects of link rot.
- InterPlanetary Linked Data (IPLD) provides persistent tree structures to interlink and version the data. IPLD ensure the persistence of linkages between data objects ensuring also FAIR compliance status of the identified set of data and metadata.



### **IPFS Content Identifiers: Persistence**

The stored data is as persistent as at least one network participant requests it.

DAG CID state	Pinned	Wanted	Available	Discarded
Local data state	Finned	vvanteu	Available	Discarded
Stored	Network-wide <b>persistent</b>	Network-wide <b>available</b>	Network-wide <b>persistent</b>	Network-wide <b>available</b>
Requested	Network-wide <b>persistent</b>	Network-wide <b>available</b>	Network-wide <b>available</b>	Available at first accessible <b>relay</b>
Idle	Network-wide <b>persistent</b>	Network-wide <b>available</b>	Network-wide <b>available</b>	Network-wide available
Discarded	Network-wide <b>persistent</b>	Available at first accessible <b>relay</b>	Available at first accessible <b>relay</b>	<b>Unavailable</b> with given CID

### dPID: Decentralized Persistence

Decentralized Persistent Identifier (**dPID**) is a technology that combines <u>distributed</u> software components and technologies into the novel data identification pipeline:

- Turing-complete **Sepolia blockchain** implements <u>persistent ledgering</u>. It stores the data structures addressed by CID in a highly persistent, secure and decentralized fashion over the root hash of IPLD Directed Acyclic Graphs.
- <u>Sidetree protocol</u> provides <u>high-level addressing over the blockchain entries</u>. It introduces <u>W3C Decentralized Identification standards</u> to create <u>globally</u> <u>unique</u>, <u>user-controlled identity</u> and manage associated <u>Private Key</u> <u>Infrastructure metadata</u>, all without the need for social contracts.

### dPID: Bitstream Storage Model

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### dPID: Technical Proposal

<u>DeSci Nodes</u> - the pilot web solution implementing dPID as the identification, storage and versioning engine for Research Objects (<u>RO-CRATE</u>-compliant).

- <u>JSON-LD</u>-compliant <u>internal data representation</u> for machine-actionability and interoperable HTTP API.
- <u>ORCID</u>-compliant initial user identification (in the pilot implementation) for <u>verifiable ownership</u> and <u>incremental contribution lists</u>.
- <u>Open network participation</u> and metadata redundancy through peer-to-peer nature of IPFS.
- Partial compliance with <u>FAIR Data Object specification</u>.
- <u>"Vendor lock-in" removed</u> in the context of metadata due to the <u>removal of</u> <u>the singular provenance holder</u> of the scientific record.

### dPID: DeSci Nodes application

- Open-source Web application written in TypeScript.
- Uses known and well documented <u>IPFS Kubo</u> as an internal API server.
- From the perspective of the end user, can be likened to directories that store research artifacts in a format-agnostic manner.
- Accounts contribution through <u>ORCID</u> in the experimental implementation.
- Formulates a versioned artifact repository for every FAIR Data Object addressed with the given dPID.
- Ensures deterministic resolution of dPID to the internal IPFS CIDs and their associated content through a DAG.
- Employs <u>Ceramic</u> to create a graph-based distributed lookup database. This facilitates addressing using a combination of CID and <u>W3C DID</u>.

### dPID: DeSci Nodes user interface

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### Use case: Artworks

- dPID was introduced at <u>Le Vie delle Foto</u> as an experimental foundational infrastructure for the identification and hybrid (hardcopy + digital) distribution of artworks.
- The initiative <u>facilitates the management of the provenance chain</u> for photographic artworks distributed in hard copies, and in digital formats.
- <u>Extends the regular certification of authenticity</u> issued by social institutions (galleries, traders, etc.) with <u>versioned</u>, <u>immutable digital metadata</u> with immutability ensured via IPFS.
- Uses integrated social attestation mechanisms to build the social web of trust over the decentralized network.

### **Roadmap**

- Provide a **set of convenience libraries** with a comprehensive API and viable examples letting software developers adopt the technology.
- Propose **automated deployment pipeline** feasible for different use cases.
- Develop comprehensive documentation for end users, publishers and administrators letting them adopt and use the technology.
- Propose social-oriented mechanisms such as **social attestation**, **conflict moderation**, and **access control**.
- Pass the software for **formal validation of the security and robustness**.
- Explore and adopt multiple data-oriented use cases such as migration, storage sharing, and consortium mechanisms.

<u>Special thanks</u>: Linda Simeone, <u>Le Vie delle Foto</u>



VENEZIA MESTRE 14-18 April 2024

#### Thank you for your attention!







