

# In the Depths of Hyponymy: A Step Towards Lifelong Learning

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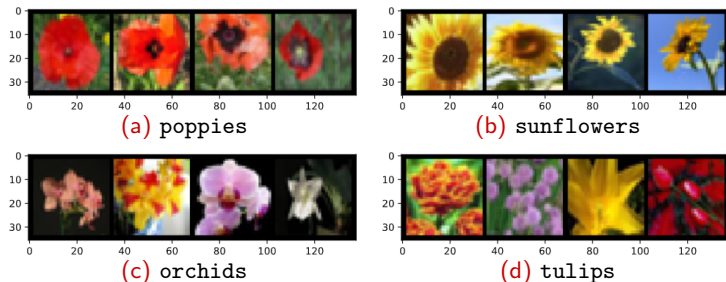
Dr. **Timothy Patten** received his PhD from the Australian Centre for Field Robotics at the University of Sydney, Australia. He is now a postdoctoral researcher with the V4R laboratory at TU Wien. He has been involved in a number of research and industry sponsored projects in which he worked on object segmentation, recognition, grasping and task planning. Currently, he is the principle investigator at TU Wien in the CHIST-ERA project InDex, for which he is developing methods for object tracking and semantic grasping.

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# Introduction

- ▶ The applications in which a **robot** should be able to understand what it sees are countless: human-robot interaction, healthcare, service robotics, industrial robotics, logistics, connected and autonomous vehicles.
- ▶ The last decade of advancements in deep learning have led to astonishing results in the applications that respond to the so called **closed-world assumption** [KSH12].
- ▶ Robots, however, operate in **dynamic and uncontrolled environments**.



**Figure 1:** Randomly sampled batches extracted from 4 different CIFAR-100 [Kri09] classes. Images in the top row show homogeneous visual properties while images in the bottom row are characterized by very different visual properties. Yet, all the batches belong to specific categories. A question arises: *How does the intra-class variability impact a classifier, and how can an agent (e.g., a robot) recognize and exploit this phenomenon?*

- ▶ In the presented work, with reference to the classification task, a step is taken towards **relaxing the aforementioned assumption** by introducing a **novel framework** capable of allowing the **refinement of the classes** encoded into a classifier during its operational life.

# Lifelong Learning Framework

- ▶ The objective pursued by the definition of the framework is to theoretically describe the **operational life** of a classifier trained on a set of semantic categories or classes labeled by the positive integers  $\mathcal{K}_1 = \{1, \dots, N_1\}$ .
- ▶ It is therefore natural to define  $\mathcal{K}_t \subseteq \mathbb{N}^+$  as the set of classes encoded into the classifier at time  $t$ .
- ▶ Let  $\mathbf{x} \in \mathbb{R}^d$  be the features associated to a new sample seen by the classifier.
- ▶ Let  $\mathcal{T}_t \subseteq \mathbb{R}^d \times \bigcup_{j=1}^t \mathcal{K}_j$  be the set containing all the samples, with the respective labels, seen by the classifier up to time  $t$ .

A model, to function within the defined framework, must be characterized by the following main ingredients.

1. A *multi-class recognition function*  $F_t : \mathbb{R}^d \rightarrow \mathcal{K}_t$ .
2. The *additional state information*  $\mathcal{S}_t = \{s_t^i\}$ ,  $\forall i \in \mathcal{K}_t$ . For each semantic category, the corresponding element of the set should contain all the necessary information to compute its intra-class variability after the classification performed in the previous time step.
3. A formalization of the *intra-class variability* computation  
 $V : \mathcal{S}_{t+1} \rightarrow \mathbb{R}$ .
4. A *trigger*  $T : \mathbb{R} \rightarrow \{0, 1\}$  defined in accordance with a criterion selected by the designer in order to establish whether class  $i$  needs to be split or not.

5. A *labeling process function*  $L_t : \mathcal{P}(\mathcal{T}_t^i) \rightarrow \mathcal{P}(\mathbb{N}^+ \setminus \bigcup_{j=1}^t \mathcal{K}_j)$ , where  $\mathcal{P}(\bullet)$  denotes the power set and  $\mathcal{T}_t^i = \{(\mathbf{x}, k) \in \mathcal{T}_t \text{ s.t. } k = i\}$ . The function aims to retrieve the sub-class labels of class  $i$  when its split is triggered. Once the new categories are collected, the classifier class structure has to be updated.
6. A *data retrieval function*  $R : \mathcal{P}(\mathcal{K}_{t+1}) \rightarrow \mathcal{P}(\mathbb{R}^d \times \mathcal{K}_{t+1})$ . The function is responsible for retrieving the new data for the incremental training of the model.
7. An *incremental learning function* whose objective is to incrementally update the model by replacing the obsolete per-class recognition function with the ones related to the new semantic categories.



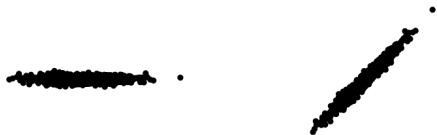
## Metric for Intra-class Variability

- ▶ Let  $\mathbf{X}$  be the matrix whose columns are the samples, belonging to or classified as belonging to class  $i \in \mathcal{K}_t$ , seen by the considered model up to time  $t$ .
- ▶ If the used classifier belongs to the category of deep models,  $\phi : \mathbb{R}^d \rightarrow \mathbb{R}^n$  can be defined as the function responsible for extracting **deep representations** from the generic sample features  $\mathbf{x} \in \mathbb{R}^d$ .
- ▶ Let  $\phi(\mathbf{X})$  be the matrix obtained applying function  $\phi$  to  $\mathbf{X}$  columnwise.
- ▶ The matrix can be thought of as the repeated sampling of a probability distribution over  $\mathbb{R}^n$ .

- ▶ The intuition is to link the abstract concept of intra-class variability to the shape of the  $\phi(\mathbf{X})$  sampling in the space of the deep representations.
- ▶ The **formulated hypothesis** follows: *The lower the intra-class variability of class  $i$ , the better the sampling  $\phi(\mathbf{X})$  approximates a hyperball.*
- ▶ The concept of approximation introduced in the formulated hypothesis needs to be formalized.

- ▶ A first proposal consists of analyzing the **per-component variances** of the random vector  $\phi(\mathbf{x})$ .
- ▶ Let  $\mathbf{C}_{\phi(\mathbf{x})}$  be the (sample) covariance matrix associated to the  $\phi(\mathbf{X})$  data.
- ▶ Let  $\boldsymbol{\sigma} = [\sigma_1^2, \dots, \sigma_n^2]$  be the vector containing the diagonal terms of  $\mathbf{C}_{\phi(\mathbf{x})}$  and  $\tilde{\boldsymbol{\sigma}} = [\tilde{\sigma}_1^2, \dots, \tilde{\sigma}_n^2]$  be its normalized counterpart.
- ▶ Let  $H(\mathbf{p}) = -\sum_{i=1}^n p_i \log_2 p_i$  be the entropy of the generic distribution  $\mathbf{p} = [p_1, \dots, p_n]$ .
- ▶ The **proposed metric** is defined to be

$$V(\mathbf{C}_{\phi(\mathbf{x})}) = H(\tilde{\boldsymbol{\sigma}}) \quad (1)$$



$$(a) \mathbf{C}_{\phi(\mathbf{x})_{0^\circ}} = \begin{bmatrix} 1 & 0 \\ 0 & 0.01 \end{bmatrix} \quad (b) \mathbf{C}_{\phi(\mathbf{x})_{45^\circ}} \simeq \begin{bmatrix} 0.5 & 0.5 \\ 0.5 & 0.5 \end{bmatrix}$$

**Figure 2:** Rotated versions of the same set of samples. As reported by the captions,  $\sigma_{0^\circ}^2_x \gg \sigma_{0^\circ}^2_y$  while  $\sigma_{45^\circ}^2_x = \sigma_{45^\circ}^2_y$ . The two cases lead to different aggregated scores.

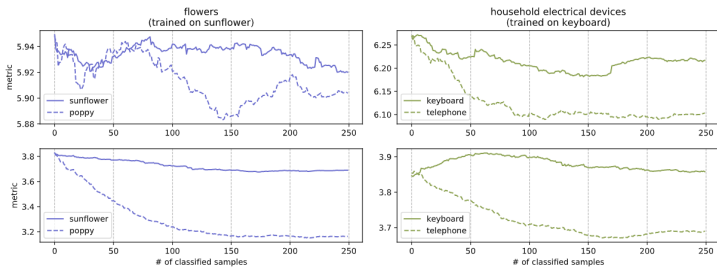
- ▶ A subtle problem arises: **rotated versions** of the same sampling could lead to **different aggregated scores**.

- ▶ A possible solution is inspired by Principal Component Analysis (PCA) [Shl14].
- ▶ Let  $\lambda = [\lambda_1, \dots, \lambda_n]$  be the **eigenvalues** of  $\mathbf{C}_{\phi(\mathbf{x})}$  and  $\tilde{\lambda} = [\tilde{\lambda}_1, \dots, \tilde{\lambda}_n]$  be the distribution extracted from  $\lambda$ .
- ▶ The **final proposal** consists of modifying (1) into

$$V(\mathbf{C}_{\phi(\mathbf{x})}) = H(\tilde{\lambda}) \quad (2)$$

# Qualitative Hypothesis Verification

1. The DeepNCM classifier [GCM18] is trained on 20 modified CIFAR-100 [Kri09] super-classes made of only one randomly selected sub-class.
2. 5000 unseen samples belonging to the same **sub-classes exploited during the model training** are supplied to the classifier.
3. After each classification, the model state is updated and the score produced by the metric computation is stored.
4. 5000 unseen samples, from randomly chosen **sub-classes, different from the ones of the training phase**, are supplied to the classifier and the corresponding metric scores are computed and stored.



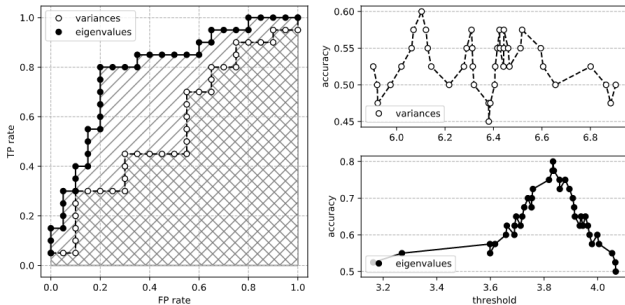
**Figure 3:** Metric scores for 2 randomly chosen example classes. Top row reports computations with the  $V(\mathbf{C}_{\phi(\mathbf{x})}) = H(\tilde{\sigma})$  definition while the bottom row reports computations with the  $V(\mathbf{C}_{\phi(\mathbf{x})}) = H(\tilde{\lambda})$  definition. Solid lines show the “constant” scenario and dashed lines show the “drift” scenario.

- ▶ Considering each super-class separately, most cases present **lower metric values, under the same number of classified samples, for the “drift” scenario** confirming the correctness of the formulated hypothesis with respect to the considered dataset/classifier pair.

# Quantitative Metric Evaluation

- ▶ The **separability** of the scores associated to the “constant” and “drift” scenarios is investigated.
- ▶ The experiment analyzes the family of **threshold triggers** acting on the metric scores after the 10000 sample classifications.
- ▶ The investigation is performed by computing the Receiver Operating Characteristic (ROC) curves for both the  $V(\mathbf{C}_{\phi(\mathbf{x})}) = H(\tilde{\sigma})$  and  $V(\mathbf{C}_{\phi(\mathbf{x})}) = H(\tilde{\lambda})$  definitions.





**Figure 4:** Quantitative evaluation of the considered scores/trigger pairs. The plot on the left reports the produced ROC curves while the plots on the right report the computed accuracies. White dotted lines refer to the  $V(\mathbf{C}_{\phi(\mathbf{x})}) = H(\tilde{\sigma})$  definition while black dotted lines refer to the  $V(\mathbf{C}_{\phi(\mathbf{x})}) = H(\tilde{\lambda})$  definition.





- The computation of the **eigenvalues reveals to be necessary** with a final AUC of 0.79, a net improvement over the direct use of the per-component variances, characterized by an AUC of 0.56.

# Conclusion

- ▶ This paper presented a **novel lifelong learning framework** and **metric** in order to manage and quantify the intra-class variability of a trained classifier.
- ▶ The proposed work is an important step to extend the life of robots, thus enabling them to operate longer in real uncontrolled environments.
- ▶ For future work, we intend to fully implement the introduced framework and test the full framework's real-world performance on a robot platform.

# Acknowledgment

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