Keynote Speech

SECURITY AND PRIVACY ON E-HEALTH APPLICATIONS

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Outlines

Security on Online Applications

✓ Basic Security

Security Mechanisms with XML-based Security Standards

- Privacy on Online Applications
 - ✓ Threats

✓ Existing Solutions

- Privacy on e-Health applications
 - ✓ Challenges

Limitations and Requirements

Privacy-Preserving Online Monitoring Framework



SECURITY ON ONLINE APPLICATIONS

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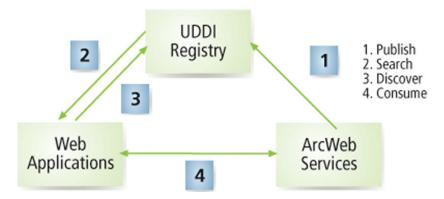
Online Services

require end-to-end security for transactions that span multiple computers.

Interoperability become the most important to online services security

✓ Transmissions occur across multiple platforms at all times.

Web Services





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Basic Security over HTTP

□ For **stronger** security

HTTP security should be used with other security technologies

– Ex) SSL and Kerberos.



XML based Security Standard

- Basic authentication and authorization techniques are not sufficient to secure Web services transactions.
- □ For better interoperability and extensibility
 - Need to mitigate the security vulnerabilities of XML based applications
 - XML-based applications raise significant security concerns
 - Because XML documents are encoded in plan-text, rather than in a binary form

Online services products use a combination of security mechanisms implemented by using XML-based Security Standards



1) XML Signature

defines an XML syntax for digital signatures ✓ Called XMLDSig, XML-DSig, or XML-Sig

```
<Signature Id="MyFirstSignature" xmlns="http://www.w3.org/2000/09/xmldsig#">
  <SignedInfo>
 <CanonicalizationMethod Algorithm="http://www.w3.org/2006/12/xml-c14n11"/>
 <SignatureMethod Algorithm="http://www.w3.org/2000/09/xmldsig#dsa-sha1"/>
  <Reference URI="http://www.w3.org/TR/2000/REC-xhtml1-20000126/">
   <Transforms>
     <Transform Algorithm="http://www.w3.org/2006/12/xml-c14n11"/>
   </Transforms>
   <DigestMethod Algorithm="http://www.w3.org/2000/09/xmldsig#sha1"/>
   <DigestValue>dGhpcyBpcyBub3QgYSBzaWduYXR1cmUK.../DigestValue>
  </Reference>
</SignedInfo>
  <SignatureValue>...</SignatureValue>
  <KeyInfo>
   <KeyValue>
     <DSAKeyValue>
       </DSAKeyValue>
   </KeyValue>
  </KeyInfo>
</Signature>
```



2) XML Encryption

□ An example of XML Encryption





3) XML Key Management Specification (XKMS)

- XML specification for registering and distributing encryption keys for Public Key Infrastructure (PKI) in Web services.
 - ✓ developed by Microsoft, VeriSign and webMethods
 - ✓ designed for use with XML Signature and XML Encryption.
- XKMS is comprised of two specification
 - 1) XML Key Information Service Specification (X-KISS)
 - The set of **protocols** that process key Information
 - located in an XML signature's Key-Info element
 - 2) XML Key Registration Service Specification (X-KRSS)
 - The set of certificate-management protocols that addresses the life of a digital certificate
 - From registration to revocation and recovery.



4) Security Assertion Markup Language (SAML)

- An standard for transferring authentication, authorization and permissions information over the Internet.
- developed by combining two computing XML security standard
 - 1) Securant Technologies' AuthXML
 - 2) Netegrity's Security Services Markup Language (S2ML)
- Also provides a method for single sign-on authentication and authorization
 - SAML-based applications can provide single sign-on across disparate site and platforms.



5) Extensible Access Control Markup Language (XACML)

- A markup language that allows organizations to communicate their policies for accessing online information.
 - ✓ Developed by OASIS
 - ✓ defines
 - which clients can access information
 - what information is available to clients
 - when clients can access the information and
 - how client can gain access to information.



PRIVACY ON ONLINE APPLICATIONS

Created upon the presentation of Lorrie Faith Cranor http://lorrie.cranor.org

Online Privacy Concerns

Widespread Online Monitoring

- Data is often collected silently
- Web allows large quantities of data to be collected inexpensively and unobtrusively

Re-identification of User Data

- Data from multiple sources may be merged
 - Non-identifiable information can become identifiable when merged

Misuse of Data

 Data collected for business purposes may be used in civil and criminal proceedings

Application-centric Privacy Management

– Users given no meaningful choice





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1) Browsers

Browsers provide information about

- IP address, domain name, organization, Referring page,
- ✓ Platform: O/S, browser
- ✓ What information is requested
 - URLs and search terms
- ✓ Cookies

Disclose that information to anyone who might be listening

- ✓ End servers
- ✓ System administrators
- ✓ Internet Service Providers
- ✓ Other third parties
 - Advertising networks
- Anyone who might subpoena log files later



2) Cookies

□ Cookies can be useful

- ✓ Used like a staple to attach multiple parts of a form together
- Used to identify you when you return to a web site so you don't have to remember a password
- ✓ Used to help web sites understand how people use them

Cookies can do unexpected things

 Used to profile users and track their activities, especially across web sites



Web Bug/Beacon

- Invisible "images" (1-by-1 pixels, transparent) embedded in web pages
 - ✓ cause referrer information and cookies to be transferred
 - ✓ Also called web beacons, clear gifs, tracker gifs, etc.
- Work just like banner ads from ad networks, but you can't see them unless you look at the code behind a web page

□ To detect web bugs

v ex) Bugnosis (http://www.bugnosis.org)



3) Data Merge

- Every time the same cookie is replayed to a site, the site may add information to the record associated with that cookie
 - ✓ Number of times you visit a link, time, date
 - ✓ What page you visit
 - ✓ What page you visited last
 - ✓ Information you type into a web form
- If multiple cookies are replayed together, they are usually logged together, effectively linking their data
 - ✓ Narrow scoped cookie might get logged with broad scoped cookie



4) Spyware

Software that employs a user's Internet connection to collect information without their knowledge or explicit permission

Most products use pseudonymous, but unique ID

- Over 50% known freeware and shareware products contain Spyware
- □ Often difficult to uninstall!
- Anti-Spyware Sites
 - <u>http://grc.com/oo/spyware.htm</u>
 - <u>http://www.adcop.org/smallfish</u>
 - <u>http://www.spychecker.com</u>
 - <u>http://cexx.org/adware.htm</u>



5) Online Monitoring Service

- Provides monitoring scripts that enable online service providers to track and record users' characteristics, data entered, and actions.
 - e.g.) mouse clicks, frequency of use, time spent in a particular page, media viewed, page navigation sequences, content entered into a textbox, location information, whether a mobile device is being used, and etc.

□Advantages

- 1) requires less time and effort to collect and analyze user/usage data
 - e.g.) Google Analytics and Adobe Analytics
- 2) widely used in a variety of online application areas
 - e.g.) e-commerce, information retrieval, e-health, and etc.



SOLUTIONS

1) Privacy Policy

Policies let consumers know about site's privacy practices

- Consumers can then decide whether or not practices are acceptable
- ✓ The presence of privacy policies increases consumer trust

Privacy Policy Problem

- difficult to understand
- ✓ hard to find
- ✓ take a long time to read
- change without notice



XML based Policy Languages

- Users and service providers can specify privacy preference.
 - ✓ Users APPEL or XPref, Service providers P3P
 - ✓ allow to describe
 - what kinds of user data might be monitored
 - what those data are used for
 - who those data will be shared with
 - how user data are maintained



Platform for Privacy Preferences (P3P)

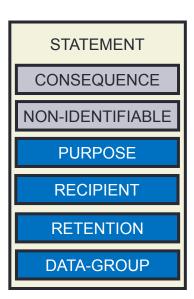
□ allows online applications to declare their privacy policies

- ✓ data types to be collected (Data)
- v usage of collected data (Purpose)
- consumers of user data (Recipient)
- ✓ permanence (*Retention*)
- accessibility of collected private data (Access)
- ✓ dispute resolution procedure (Disputes)

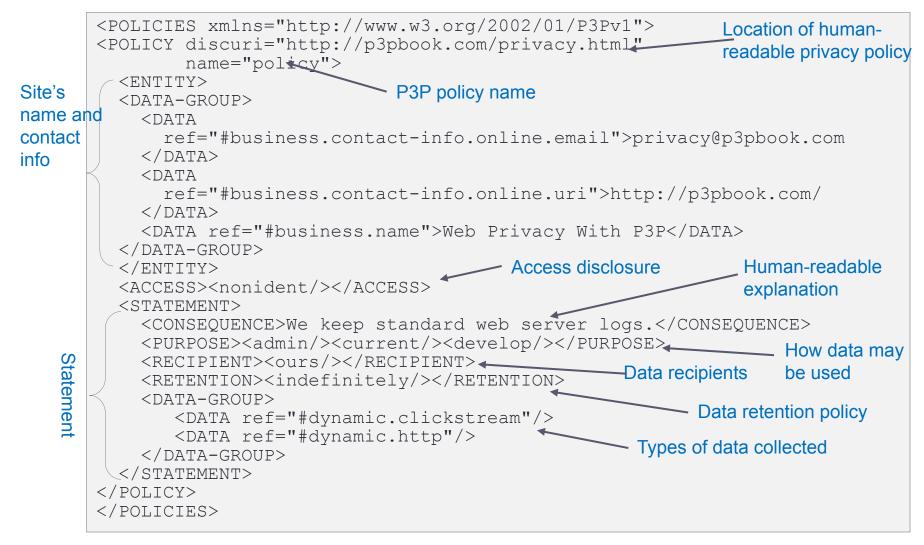
To specify data types

- *Dynamic* data schema
 - to specify data that do not have fixed values
 - e.g.) clickstream, http, clientevents, cookies, searchtext, and interactionrecord
- ✓ User data schema
 - to generally specify a user
 - E.g.) name, bday, gender, home-info, business-info, and login
- Third party data schema
 - to provide third party information
 - its data types are identical to those of the User data schema
- ✓ Business data schema
 - A subset of the User data relevant for describing legal policy entities.





Platform for Privacy Preferences (P3P)





A P3P Preference Exchange Language (APPEL)

enables users to express their privacy preferences

□ A complementary language to P3P

 used by browsers to make automated decisions regarding the acceptability of P3P policies of applications.

□ A user's policies are expressed in a set of *Ruleset*

A RULE consists of a policy (p3p:POLICY) and a behavior (behavior).



APPEL





XPref

□ To overcome APPEL's drawbacks

- ✓ keeps APPEL's rule heads
- ✓ but replaces rule bodies with a condition attribute expressed by XPath

Contributions of XPref

- remove the ambiguity and complexity in APPEL's matching patterns
- ✓ enhance its expression power



XPref

<RULESET> Able to specify what is unacceptable! <RULE behavior="block" condition="/POLICY/STATEMENT [PURPOSE/*[name(.) = "individual-analysis"] and RECIPIENT/* [name(.) != "ours"]]" /> <RULE behavior="request" condition="true"/> </RULESET>

<RULESET> Easy to express the acceptable combinations! <RULE behavior="request" condition="/POLICY [every \$stmt in \$stmt/PURPOSE/*, every \$purpose in @stmt/PURPOSE/* satisfies (name(\$purpose) = "current" or name(\$purpose) = "pseudo-analysis" or (name(\$purpose) = "individual-analysis" and name(\$recip) = "ours"))]"/> <RULE behavior="block" condition="true"/> </RULESET>



2) Privacy Guidelines

- Online Privacy Alliance <u>http://www.privacyalliance.org</u>
- Direct Marketing Association Privacy Promise <u>http://www.thedma.org/library/privacy/privacypromise.shtml</u>
- Network Advertising Initiative Principles <u>http://www.networkadvertising.org/</u>
- OECD fair information principles <u>http://www.oecd.org/dsti/sti/it/secur/prod/PRIV-en.HTM</u>

https://www.hhs.gov/hipaa



3) Seal programs

■ The third-party assurance privacy certification programs for online applications

- TRUSTe http://www.truste.org
- BBBOnline http://www.bbbonline.org
- CPA WebTrust http://www.cpawebtrust.org









Seal programs

Problems

- Certify only compliance with stated policy
 - Limited ability to detect non-compliance
- Minimal privacy requirements
- ✓ Don't address privacy issues that go beyond the web site
- Nonetheless, reporting requirements are forcing licensees to review their own policies and practices and think carefully before introducing policy changes



4) Software tools

Encryption tools

- ✓ prevent others from listening in on your communications
 - File encryption
 - Email encryption
 - Encrypted network connections

□ Filters

- ✓ Cookie cutters
- Child protection software

Anonymity tools

- \checkmark prevent your actions from being linked to you
 - Anonymizing proxies
 - Mix Networks and similar web anonymity tools
 - Anonymous email



Provider-side Privacy Protection

Adchoices

 Third-party advertising companies have voluntarily begun to insert an 'Adchoices' icon into their ads

- to increase user awareness of online tracking.

But it has been found that the icon was not very effective

Privad

- ✓ A middleware approach
- ✓ to conceal a user's activities from an advertising network by

Huge overhead requirements

➔ The adoption of a proxy-based middleware may not be a feasible solution to small-size e-health applications

Useless

- if an e-health application requires identifiable user data

User-side Protection: Browser-based

Adnostic

- ✓ A browser extension
- ✓ behavioral profiling and targeting in users' browsers
 - to select effective ads while not sending user data to third-party ad companies.

RePriv

- ✓ enables browsers to conduct user interest mining
- ✓ only share the resulting encapsulated interests with third-parties

Both have only focused on targeted advertising and personalization but have NOT considered online monitoring services.



User-side Protection: Browser-based

opt-out cookies

✓ A simple and easy-to-use solution

Fragile

- they can be easily disabled or deleted by a third party

Setting a block list in a browser

✓ can effectively block malicious applications

Not support fine-grained blocking at the data level - currently this approach blocks any listed application in its entirety and does



User-side Protection: Policy-based

Privacy Bird

- ✓ A P3P user agent
 - reads P3P policies of online applications and lets users know whether the application policies and user preferences are matched.
 - If policies are not matched, a bird icon turns red.
- ✓ A user can get information by clicking on a red bird icon.

Not allow users to check data being monitored at the data level and Not prevent unauthorized monitoring. - only able to check the acceptability of application's P3P policies



SECURITY AND PRIVACY ON E-HEALTH

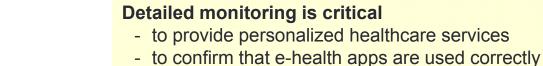
Online Monitoring on e-Health Applications

Application Domains

- ✓ Online healthcare education [10]
- ✓ Healthcare research [11]
- Healthcare interventions
- Disease prevention and self-management
- ✓ Health promotion [13]

Major Functionalities

- Self-assessment or self-profiling
 - to recognize individuals' health-related status
 - \rightarrow provide personalized healthcare services
- Continuous communication with patients using interactive tools
 - e.g.) online trackers
- Wide dissemination of information related to health and safety



← Need to collect detailed, and often identifiable, user data including health information.





Protection of user privacy is critical

- e-health applications often deal with very sensitive private data, including health status, medical records, and family health histories.
- → Control over the sharing of this information is of the utmost importance and urgency



Requirements for e-Health applications

- \Box Privacy policy on patients' health data \rightarrow Health Data Schema
- □ Online monitoring services that are aware of user privacy policies rather than application policies → PPoM Service
- Verification methods to ensure that an application complies with policies mutually agreed by providers and users on user side
 PPoM Browser
- Enforcement methods to protect user privacy on user side
- Easy-to-use tools

→ PPoM Tool

Privacy-Preserving online Monitoring (PPoM)

- allows e-health applications to conduct trustworthy user monitoring
- enable patients to use e-health applications without privacy loss



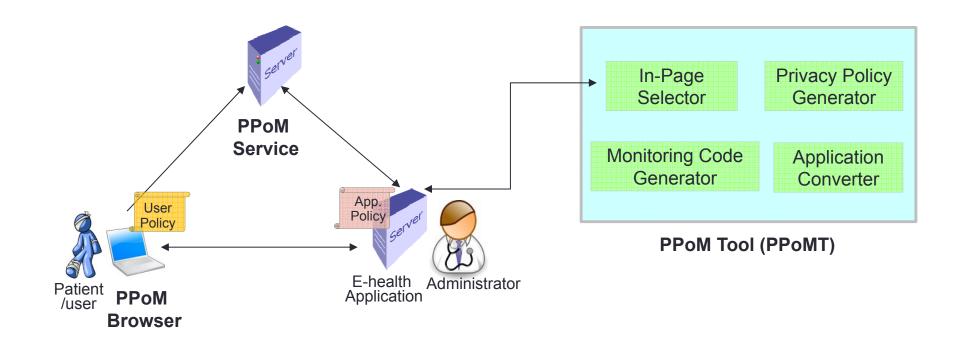
PRIVACY-PRESERVING ONLINE MONITORING

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PPoM Framework

Overall Architecture





1. Health Data Schema

To avoid having inconsistent schemas across different patients and applications

□ aims to describe a patient's health status





HIPAA-compliant Privacy Policy

An extension of P3P to be a HIPAA-friendly policy language

✓ Privacy policy language to be used in e-health applications must

| | Proposed P3P Extension | HIPAA | |
|---|---|---|--|
| <access></access> | | HIPAA 164.524: A patient's access rights | |
| | Existing: <nonident></nonident> , <all></all> , <none></none> , <contact-and-other></contact-and-other> , <ident-contact></ident-contact> , and <other-ident></other-ident> . | compliant with HIPAA can be represented <hipaa-compliant-access></hipaa-compliant-access> . | |
| | Addition: <hipaa-compliant-access></hipaa-compliant-access> | | |
| <de< td=""><td>IDENTIFIED></td><td>HIPAA 164.502: <deidentified> must be specified in case of policies for de-</deidentified></td></de<> | IDENTIFIED> | HIPAA 164.502: <deidentified> must be specified in case of policies for de-</deidentified> | |
| | Addition : This element is a new child element of <statement> and it is optional.</statement> | identified PHI. | |
| <pu< td=""><td>RPOSE></td><td colspan="2">HIPAA 164.514: Health/HIIPAA-related purposes can be represented using newl</td></pu<> | RPOSE> | HIPAA 164.514: Health/HIIPAA-related purposes can be represented using newl | |
| | Existing: <current></current> , <admin></admin> , <develop></develop> , <tailoring></tailoring> , <contact></contact> , <historical></historical> , <pseudo-analysis></pseudo-analysis> , <pseudo- decision/>, <telemarketing></telemarketing>, <individual-analysis></individual-analysis>, <individual- decision/>, and <other-purpose></other-purpose>.</individual- </pseudo- | added four purposes. | |
| | Addition: <public-health></public-health> , <research></research> , <healthcare- operation/>, and <healthcare-reference></healthcare-reference></healthcare- | | |

| _ | | | | |
|---|---|---|--|--|
| Proposed P3P Extension | | HIPAA | | |
| <re< td=""><td>CIPIENT></td><td>Across HIPAA regulations: 'Covered entity' in HIPAA is represented as</td></re<> | CIPIENT> | Across HIPAA regulations: 'Covered entity' in HIPAA is represented as | | |
| | Existing: <ours>, <delivery>, <same>, <other-recipient>, <unrelated>, and <public>.</public></unrelated></other-recipient></same></delivery></ours> | <pre><ours></ours></pre> | | |
| | Addition: <user> and <limited-dataset-recipient></limited-dataset-recipient></user> | | | |
| <re< td=""><td>TENTION></td><td colspan="3">HIPAA 164.502: An e-health application can represent HIPAA-abiding retention policy using</td></re<> | TENTION> | HIPAA 164.502: An e-health application can represent HIPAA-abiding retention policy using | | |
| | Existing: <no-retention></no-retention> , <stated-purpose></stated-purpose> , <legal- requirement/>, <business-practices></business-practices>, and <indefinitely></indefinitely>.</legal- | <hipaa-compliant-retention> HIPAA 164.508: An e-health application can represent expiry dates and events of PHI</hipaa-compliant-retention> | | |
| | Addition: <hipaa-compliant-retention></hipaa-compliant-retention> | using 'expiry-date' and 'expiry-event'. | | |
| | Modification : <retention> has two optional attributes, expiry-date and expiry-event.</retention> | | | |
| NUALAZ | | Across HIPAA regulations: For health data, we should specify <health></health> | | |
| | Existing: Categories are <health></health> , <physical></physical> , <online></online> , <uniqueid></uniqueid> , <purchase></purchase> , <financial></financial> , <computer></computer> , <navigation></navigation> , <interactive></interactive> , <demographic></demographic> , <content></content> , <state></state> , <political></political> , <preference></preference> , <location></location> , <government></government> , and <other- category/>.</other- | as a data category. In addition, a value of a <i>ref</i> attribute of a <data> must start with "#health" to refer the Health data schema.</data> | | |
| | Addition: The Health data schema to be referred | | | |
| | VIRGINIA MILITARY INSTITUTE computer and information sciences | Copyright 2016 Youna Jung | | |

2. PPoM Service

□ gathers only authorized data that users allow to monitor.

✓ By specifying user policies, patients can determine which data can be monitored \rightarrow User policies are enforced by the PPoM service.

[ELEMENT_ID|ELEMENT_PATH] [EVENT_TYPE] [TIME] [DATA_ TYPE] [DATA] [DEVICE_INFORMATION]

- *ELEMENT ID*: It is a unique ID of a HTML element.
- *ELEMENT_PATH*: In case of dynamic webpages, a path from the root element is used as an ID if an element does not have ID. The path is unique for each element.
- *EVENT_TYPE*: It denotes that a type of an event occurred. The set of event types are as follows: {*entering a page, leaving a page, clicking an element, filling an element*}.
- *TIME*: It denotes the occurring time of an event
- DATA_TYPE: It is a type of monitoring data and must be specified based on the data types in the P3P data schema and the HIPAA Profile.
- *DATA*: It is the value of the monitoring data.
- DEVICE_INFORMATION: It includes a device's category, operating system, language, and browser information.



3. PPoM Browser

 1) understands user policies, 2) presents all data being monitored, and 3) protects user privacy on the user side by blocking outgoing messages which contain data a user does not want to disclose.

| get started please tell us your health status | |
|---|--|
| Current Weight: 186 🗹 pounds switch to metric | |
| Height: 5 ✓ feet 9 ✓ inches Blood Type: A ✓ Disease: Diabetes, Heart Disease ✓ How Active Are You? ● Sedentary: I have a desk job and/or sit most of the day (secretary, computer ● Lightly Active: I stand a lot of the day (nurse, teacher) ● Active: I move around a lot throughout the day (courier, waiter) ck to Contir | Height Weight Hearing Acuity Visual Acuity Blood Type Blood Pressure Blood Sugar Level Cholesterol Level Disabilities Allergies Lab Tests Medication Disease History Family Medical History Immunication History |



4. PPoMT

VIR

helps non-IT health professionals specify privacy policies and easily convert their existing applications into privacy-preserving applications.

| started please tell us y | Privacy Poli | cy For TextBox (#txtDise | ase) | |
|---|--|--|---|---|
| Irrent Weight: | Consequence We collect an disease history of patient. | | | |
| eight:feet ood Type: sease: | Purpose <pre>courrent/> contact/> ctelemarketing/> cpublic-health/></pre> | <pre> <admin></admin> <b< th=""><th><pre><develop></develop> <pre><develop></develop> <pre><develop></develop> <pre><develop></develop> <pre><develop></develop> </pre></pre></pre></pre></pre></th><th><pre><tailoring></tailoring> <pre><rpre><rpre></rpre></rpre></pre></pre></th></b<></br></br></br></pre> <pre></pre> | <pre><develop></develop> <pre><develop></develop> <pre><develop></develop> <pre><develop></develop> <pre><develop></develop> </pre></pre></pre></pre></pre> | <pre><tailoring></tailoring> <pre><rpre><rpre></rpre></rpre></pre></pre> |
| w Active Are You Sedentary: I have a | Non-Identifiable | ◯ No | | |
| Lightly Active: I stan Active: I move arour | Recipient | <pre>delivery/> <pre><pre><pre><pre><pre><pre><pre><pre></pre></pre></pre></pre></pre></pre></pre></pre></pre> | <pre><same></same> </pre> | <pre><other-recipient></other-recipient></pre> |
| <u>:k to Continue</u> | Retention <pre> <no-retention></no-retention> <indefinitely></indefinitely></pre> | Stated-purpose/>✓ <hipaa-compliant-retention></hipaa-compliant-retention> | <pre><legal-requirement></legal-requirement></pre> | states - practices /> |
| | Data <pre> delta: purchase/> delta: purchase/> delta: purchase/> purchase/> delta: purchase/> purchase/ purchase/</pre> | <pre>> <physical></physical> </pre> <financial></financial> <demographic></demographic> <preference></preference> <preference></preference> <preference></preference> | <pre>< <online></online> </pre> <computer></computer> <content></content> <location></location> | <pre><uniqueid></uniqueid> </pre> <pre><uniqueid></uniqueid> </pre> <ur><navigation></navigation><state></state>.<other-category></other-category></ur> |
| | Health Data S height blood-type disabilities disease-histo | veight blood-pressure allergies ry family-medical-history | hearing-acuity blood-sugar-level lab-tests immunization-history Set Policy Cancel | visual-acuity cholesterol-level medication andhealthcare-providers |



Conclusion

- Security and privacy is one of the critical issues on e-Health applications
- □ For widespread use of e-health applications
 - Must provide proper methods for the security, especially for privacy preservation
 - Otherwise, people may keep hesitating to use e-health applications.
- Need to stay apprised of all security developments and update their systems regularly.



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- □ An extensive list of privacy surveys from around the world is available from *http://www.privacyexchange.org/iss/surveys/surveys.html.*







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