Emerging New Directions in Infinite-Dimensional Adaptive Control



Mark J. Balas Distinguished Professor Aerospace Engineering Department Embry-Riddle Aeronautical University Daytona Beach, FL, USA







Thank you Petre









ADAPTIVE 2015, The Seventh International Conference on Adaptive and Self-Adaptive Systems and Applications March 22 - 27, 2015 - Nice, France

Infinite-Dimensional Adaptive Control Theory

"Physics is like sex: sure, it may give some practical results, but that's not why we do it." — Richard P. Feynman



In a tile motif on the back of the Ross Dress For Less building on Lake Ave, Pasadena, CA

F-16 Flexible Structure Model: Fluid-Structure Interaction



Flutter

USAF-Edwards AFB Flight Test Center



Many Emerging Solutions



Hypersonic Aircraft X51A Wave Rider



6 Minutes at Mach 5.1

The X-51A WaveRider is an unmanned, autonomous supersonic combustion, ramjet-powered hypersonic flight-test demonstrator for the U.S. Air Force. The X-51A demonstrates a scalable, robust endothermic hydrocarbon-fueled scramjet propulsion system in flight, as well as high temperature materials, airframe/engine integration and other key technologies within the hypersonic range of Mach 4.5 to 6.5.

NASA Space Launch System

0

SLS 130 Metric Ton Evolved Configuration

NASA MSFC





Evolving Systems

Evolving Systems = Autonomously Assembled Active Structures

Or Self-Assembling Structures, which Aspire to a Higher Purpose; Cannot be attained by Components Alone



Flow Control of Wind Turbine Aerodynamics





Principles of Wind Turbine Aerodynamic Lift

Smart Grids: Virtual Interconnecting Forces



"It is surprising how quickly we replace a human operator with an algorithm and call it SMART"

POWER SYSTEM AS DISTRIBUTED PARAMETER SYSTEM





Subarea Oscillations

$$\frac{\partial^2 \delta(u,t)}{\partial t^2} + \eta \frac{\partial \delta(u,t)}{\partial t} = \nu^2 \frac{\partial^2 \delta(u,t)}{\partial u^2}$$

"Simplicity" via Infinite Dimensional Spaces

$$\begin{cases} \frac{\partial x}{\partial t} = Ax + Bu = Ax + \sum_{i=1}^{m} b_i u_i \\ x(0) = x_0 \in D(A) \subset X \\ y = Cx = \begin{bmatrix} (c_1, x) & (c_2, x) & \dots & (c_m, x) \end{bmatrix}^T \end{cases}$$

$$\Rightarrow x(t, w_0) = \underbrace{U(t)x_0}_{Further}; \forall t \ge 0$$

Evolution in X



"Boil Away" all the special properties o \Re^N

$$C_{0} - \text{Semigroup of Bounded Operators } U(t):$$

$$\begin{cases}
U(t+s) = U(t)U(s) \text{ (semigroup property)} \\
\frac{d}{dt}U(t) = AU(t) = U(t)A \text{ (A generates } U(t)) \\
U(t)x_{0} \xrightarrow{t \to 0} x_{0} \text{ (continuous at } t = 0)
\end{cases}$$

J. Wen & M.Balas, "Robust Adaptive Control in Hilbert Space ", J. Mathematical. Analysis and Applications, Vol 143, pp 1-26,1989.

J. Wen & M.Balas ,"Direct Model Reference Adaptive Control in Infinite-Dimensional Hilbert Space," Chapter in Applications of Adaptive Control Theory, Vol.11, K. S. Narendra, Ed., Academic Press, 1987

The Devil Lurks in the Details



Semigroups

Closed Linear Operator

Solve
$$\begin{cases} \frac{\partial x}{\partial t} = Ax \\ x(0) = x_0 \in D(A) \end{cases} \Rightarrow x(t) = U(t)x_0 \quad \text{dim } X = N < \infty \\ \Rightarrow U(t) = e^{At} = \sum_{k=0}^{\infty} U(t) = E^{At} = \sum_{k=0}^$$

 $\overline{U(t): X \to X \text{ bounded operators } t \ge 0}$ $\underline{\text{Generator}: Ax = \lim_{t \to 0^+} \frac{U(t)x - x}{t} \text{ with } D(A) = \left\{ x / \lim_{t \to 0^+} \text{ exists } \right\} \text{ dense in } X$

LaPlace Transform $\begin{cases} L(U(t)) = (\lambda I - A)^{-1} \equiv R(\lambda, A) \text{ Resolvent Operator} \\ L^{-1}(R(\lambda, A)) = U(t) \end{cases}$

 A^{k}

k!

Spectrum of A

Resolvent Set $\rho(A) \equiv \{ \lambda / R(\lambda, A) : X \to X \text{ bounded linear op on } X \}$ Spectrum $\sigma(A) \equiv \rho(A)^{C} = \sigma_{point}(A) \cup \sigma_{cont}(A) \cup \sigma_{residual}(A)$

 $\sigma_{point}(A) \equiv \{\lambda / R(\lambda, A) \text{ is NOT } 1 - 1\} = \{\lambda / \exists \phi \neq 0 \ni \lambda \phi = A\phi\}$ $\sigma_{cont}(A) \equiv \{\lambda / R(\lambda, A) \text{ is } 1 - 1, \text{ but its range is only dense in } X\}$ $\sigma_{residual}(A) \equiv \{\lambda / R(\lambda, A) \text{ is } 1 - 1, \text{ but range is a proper subspace of } X\}$

Example: Heat Diffusion

$$\begin{cases} \frac{\partial x}{\partial t} = \frac{\partial^2 x}{\frac{\partial z^2}{Ax}} + bu; \\ b(z) \in D(A) \equiv \left\{ x / \text{smooth and BC}: x(t, 0) = x(t, l) = 0 \right\} \\ \subset X \equiv L^2(\Omega) \\ \text{with } (x, y) \equiv \int_{\Omega} x(t) y(t) dt \\ x(0) = x_0 \in D(A) \\ y = (c, x); \quad c(z) \in D(A) \end{cases}$$



Euler-Bernoulli Beam



$$\frac{\partial}{\partial t} \begin{bmatrix} w \\ w_t \end{bmatrix} = \begin{bmatrix} 0 & I \\ -\frac{EI}{\rho} \frac{\partial^4}{\partial z^4} & 0 \end{bmatrix} \begin{bmatrix} w \\ w_t \end{bmatrix} + \begin{bmatrix} 0 \\ b(z) \end{bmatrix} u(t)$$

16

Symmetric Hyperbolic Systems



Conditions: $\Lambda(z)\varphi(z,t) = 0 \forall z \in \partial \Omega; t \ge 0$

Theorem :

1) Symbol : $A(\xi) \equiv \sum_{i=1}^{n} \xi_i A_i$ is nonsingular $\forall \xi \neq 0 \in \Re^n$ 2) $A_0 + A_0^* < 0$ 3) dim $N(A) < \infty$ Sobolev Norm

4) Boundary Conditions are <u>Coercive</u> ($\therefore \| \overline{\varphi} \|_{1} \le \| \varphi \| + \| A \varphi \|$) $\Rightarrow A$ has compact resolvent and A generates an exponentially stable C₀ semigroup.

Examples

Wave Equation

$$2 - \dim \text{ wave equation } \frac{\partial^2 x}{\partial^2 t} = \underbrace{(\frac{\partial^2 x}{\partial^2 z_1} + \frac{\partial^2 x}{\partial^2 z_2})}_{\Delta x} + \gamma x$$

$$\Leftrightarrow \frac{\partial x}{\partial t} = \begin{bmatrix} 0 & 0 & 0 & 1\\ 0 & 0 & 1 & 0\\ 0 & 1 & 1 & 0\\ 1 & 0 & 0 & 0\\ 1 & 0 & 0 & 0\\ \hline A_1 \end{bmatrix} \underbrace{\frac{\partial x}{\partial z_1}}_{A_2} + \begin{bmatrix} 0 & 0 & -1 & 0\\ 0 & 0 & 0 & 1\\ -1 & 0 & 1 & 0\\ 0 & 1 & 0 & 0\\ \hline A_2 \end{bmatrix}}_{A_2} \underbrace{\frac{\partial x}{\partial z_2}}_{A_0} + \begin{bmatrix} 0 & 1 & 0 & 0\\ -1 & 0 & 0 & 0\\ -1 & -1 & 0 & 1\\ 0 & 0 & \gamma & 0\\ \hline A_0 \end{bmatrix}}_{A_0} \underline{x} \text{ where } \underline{x} = \begin{bmatrix} x_{z_1} \\ x_{z_2} \\ x\\ x_t \end{bmatrix}_{A_1}$$

Smart Grid: Interarea Oscillations

$$\Rightarrow x_{tt} = v^{2} x_{zz} - \eta x_{t}$$
$$\Rightarrow \underline{x} = \begin{bmatrix} x_{z} \\ x_{t} \end{bmatrix} \Rightarrow \underline{x}_{t} = \begin{bmatrix} 0 & v \\ v & 0 \end{bmatrix} \underline{x}_{z} + \begin{bmatrix} 0 & 0 \\ 0 & -\eta \end{bmatrix} \underline{x} \equiv A \underline{x}$$

Relativistic Fields (Mandl & Shaw 2010)

Dirac Equation:
$$\frac{\partial \phi}{\partial t} = -c(\sum_{i=1}^{3} A_{i} \frac{\partial \phi}{\partial x_{i}}) + (i \frac{mc^{2}}{\hbar} I_{4})\phi$$

 $\frac{\partial \phi}{\partial t} = -c(\sum_{i=1}^{3} A_{i} \frac{\partial \phi}{\partial x_{i}}) + (i \frac{mc^{2}}{\hbar} I_{4})\phi$



Direct Adaptive Persistent Disturbance Rejection (Fuentes-Balas 2000)



Persistent Disturbance Example

$$\begin{bmatrix} \dot{\theta} \\ \ddot{\theta} \end{bmatrix} = \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix} \begin{bmatrix} \theta \\ \dot{\theta} \end{bmatrix} + \begin{bmatrix} 0 \\ 1 \end{bmatrix} u(t) + \begin{bmatrix} 0 \\ 1 \end{bmatrix} u_D$$







Infinite-Dimensional Lyapunov-Barbalat Theory: PDE & Delay Systems

If W(x) is <u>coercive</u> in the partial state x, or $W(x) \ge \gamma(||x||)$, then $x(t) \xrightarrow[t \to \infty]{} 0$.

Linear System Strict Dissipativity (Balas-Frost)

Energy Storage Function : $\begin{cases} V(x) \equiv (x, Px) > 0; \forall x \neq 0 \\ V(0) = 0 \end{cases}$

A Linear Dynamic Infinite-Dimensional System is STRICTLY DISSIPATIVE when

25

$$\exists P: X \xrightarrow{\substack{\text{LinearOp}\\\text{Self-Adjoint}\\\text{Positive}}} X$$

$$p_{\min} \|x\|^2 \leq V(x) \equiv (Px, x) \leq p_{\max} \|x\|^2 \Rightarrow$$

$$\begin{cases} \operatorname{Re}(PAx, x) \equiv \frac{1}{2} [(PAx, x) + (x, PAx)] \leq -\alpha \|x\|^2; \forall x \in D(A) \\ W(x) \end{bmatrix} \leq -\alpha \|x\|^2 \end{cases}$$

DISSIPATIVE when $\alpha = 0$

$$\Rightarrow \frac{dV}{dt} \leq \underbrace{(y,u)}_{External} - \underbrace{\alpha \|x\|^{2}}_{Internally}_{Dissipated}$$

Almost Strictly Dissipative (ASD) Systems

(A(x), B(x), C(x)) ASD means $\exists G_* \ni (A_C(x) \equiv A(x) + B(x)G_*C(x), B(x), C(x))$ Strictly Dissipative



Finite- Dimensional LINEAR ASD: Two Simple Open-Loop Properties

High Frequency Gain is Sign-Definite (CB>

Open-Loop Transfer Function is Minimum Phase (all transmission zeros stable)

Almost Strictly Dissipative

Adaptive Regulation
$$\begin{cases} u = Gy \\ \dot{G} = -yy^T \gamma; \gamma > 0 \end{cases}$$

produces $x(t) \xrightarrow[t \to \infty]{t \to \infty} 0$
with bounded adaptive gains $G(t)$

An Infinite-Dimensional Version

$$\begin{cases} \frac{\partial x}{\partial t} = Ax + Bu = Ax + \sum_{i=1}^{m} b_i u_i; A \text{ generates a } C_0 \text{ semigroup} \\ x(0) = x_0 \in D(A) \subset X \\ y = Cx = \begin{bmatrix} (c_1, x) & (c_2, x) & \dots & (c_m, x) \end{bmatrix}^T; b_i, c_j \in D(A) \end{cases}$$

 $(My) \underline{Theorem} : \text{Def} : \lambda_* \in C \text{ is a transmission zero} \quad \text{of } (A, B, C) \text{ when } N(H(\lambda_*)) \neq \{0\}$ where $H(\lambda) \equiv \begin{bmatrix} A - \lambda I & B \\ C & 0 \end{bmatrix} : D(A) x \Re^M \to X x \Re^M \text{ closed linear operator}$ <u>Pretty Close !!</u>

(A, B, C) is Almost Strictly Dissipative $\Leftrightarrow CB = [(c_j, b_i)]_{mxm}$ nonsingular and $Zeros(A, B, C) = \{\lambda / N(H(\lambda)) \neq \{0\}\} = \sigma_p(\overline{A}_{22})$ "stable" (i.e. \overline{A}_{22} satisfies spectrum determined growth condition)



Adaptive Control Law

$$u = \underbrace{G_u u_m}_m + \underbrace{G_m w_m}_m -$$

Model Tracking





where

$$\begin{cases} \dot{G}_{u} = -e_{y} \cdot u_{m}^{*} \cdot \sigma_{u}; \sigma_{u} > 0 \\ \dot{G}_{m} = -e_{y} \cdot x_{m}^{*} \cdot \sigma_{m}; \sigma_{m} > 0 \\ \dot{G}_{D} = -e_{y} \cdot \phi_{D}^{*} \cdot \sigma_{D}; \sigma_{D} \end{cases}$$
 Gain
$$\dot{G}_{e} = -e_{y} \cdot e_{y}^{*} \cdot \sigma_{e}; \sigma_{e} > 0$$
 Laws

$S_{11}^*: D(A_m) \rightarrow D(A), S_{ij}^* \text{ and } H_{1i}, H_2$ Ideal Trajectories

$$\begin{cases} \frac{\partial x_*}{\partial t} = Ax_* + Bu_* + \Gamma u_D \\ y_* = Cx_* = y_m \end{cases}$$

$$\begin{cases} x_* = S_{11}^* x_m + S_{12}^* u_m + S_{13}^* z_D = S_1 z \\ u_* = S_{21}^* x_m + S_{22}^* u_m + S_{23}^* z_D = S_2 z \end{cases}$$

Matching Conditions
$$\begin{cases} AS_1 + BS_2 = S_1\overline{A}_m + H_2 \\ CS_1 = H_2 \end{cases}$$

$$S_{1} \equiv \begin{bmatrix} S_{11}^{*} & S_{12}^{*} & S_{13}^{*} \end{bmatrix} : D(\overline{A}_{m}) \to D(A) \subset X$$
$$S_{2} \equiv \begin{bmatrix} S_{21}^{*} & S_{22}^{*} & S_{23}^{*} \end{bmatrix} : D(\overline{A}_{m}) \to \Re^{m},$$
$$\overline{A}_{m} \equiv \begin{bmatrix} A_{m} & B_{m} & 0\\ 0 & F_{m} & 0\\ 0 & 0 & F \end{bmatrix}$$

with
$$D(\overline{A}_m) \equiv D(A_m) x \Re^m x \Re^{N_D}$$

and $D(\overline{A}_m)$ dense in $\overline{X}_m \equiv X_m x \Re^m x \Re^{N_D}$,
and $\begin{cases} H_1 \equiv \begin{bmatrix} 0 & 0 & -\Gamma\theta \end{bmatrix} \\ H_2 \equiv \begin{bmatrix} C_m & 0 & 0 \end{bmatrix}$

An Infinite Dimensional Internal Model Princip

Theorem : Assume *CB* is nonsingular and the open loop zeros $(\sigma(\overline{A_{22}}))$ are (exponentially) stable.

Then the zeros of the open loop plant must not overlap with the poles of the tracked reference model:

 $\sigma(\overline{A}_{m}) = \sigma_{p}(A_{m}) \cup \sigma_{p}(F_{m}) \cup \sigma_{p}(F)$ $\subset \rho(\overline{A}_{22}) \equiv \{\lambda \in C/(\lambda I - \overline{A}_{22})^{-1} : l_{2} \to l_{2} \text{ is a bounded linear operator} \}$ (or $\sigma(\overline{A}_{m}) \cap \sigma(\overline{A}_{22}) = \phi$ where $\sigma(\overline{A}_{22}) \equiv [\rho(\overline{A}_{22})]^{c}$) \Leftrightarrow

There exist unique *bounded* linear operator solutions (S_1, S_2) satisfying the Matching Conditions

Adaptive Control in Quantum Information Systems This might be the most <u>fundamental</u> application of direct adaptive control



At "half-life of particle, cat is dead <u>and</u> alive! "superposition"

$$\Psi = | \mathbf{O} \rangle | \mathbf{O} \rangle + | \mathbf{O} \rangle | \mathbf{O} \rangle$$

Ontology (what is) vs Epistemology (What is measured)

Quantum Computing

A Quantum computer will operate differently from a Classical or It will be involved w physical systems on an atomic scale, eg atoms, photons, trapped ions, or nuclear magnetic moments





Entanglement produces Decoherence

Quantum Basics (Dirac & Von Neumann)



<u>Mixed</u> State $\phi \in X$ complex Hilbert Space :

$$(\varphi,\varphi) = 1 \text{ or } \|\varphi\| = 1 \Longrightarrow \varphi = \sum_{k=1}^{\infty} c_k \varphi_k \& 1 = \|\varphi\|^2 = \sum_{k=1}^{\infty} |c_k|^2$$

where $|c_k|^2$ = probability of being in the pure state φ_k



Schrodinger Wave Equation



Small Quantum Systems

We <u>can</u> begin to experiment with just one electron, atom or small molecule

Need:

Precise control

Isolation from the environment Simple small systems : single particles or small groups of particles

..... David Wineland NIST

Control of <u>Individual</u> Quantum Systems: Quantum Feedback Loop



Physics Nobel Prize 2012 S. Haroche & D. Winelan

Purpose:

Use information from weak QND measurements to prepare photon number (Fock) states of a cavity field and protect them against decoherence. **Method:**

Quantum feedback realized by atoms as QND probes and small coherent field injections into the cavity mode as an actuator.





"No intelligent idea can gain general acceptance unless some stupidity is mixed in with it" Fernando Pessoa, The Book of Disquiet