

Performance Analysis of NASA Deep Space Communications Systems – Expectations and Lessons Learned

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California Institute of Technology

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Outline

1. Deep space communications systems
2. Performance analysis processing
3. Expectations vs. lessons learned

1. Deep Space Communications System



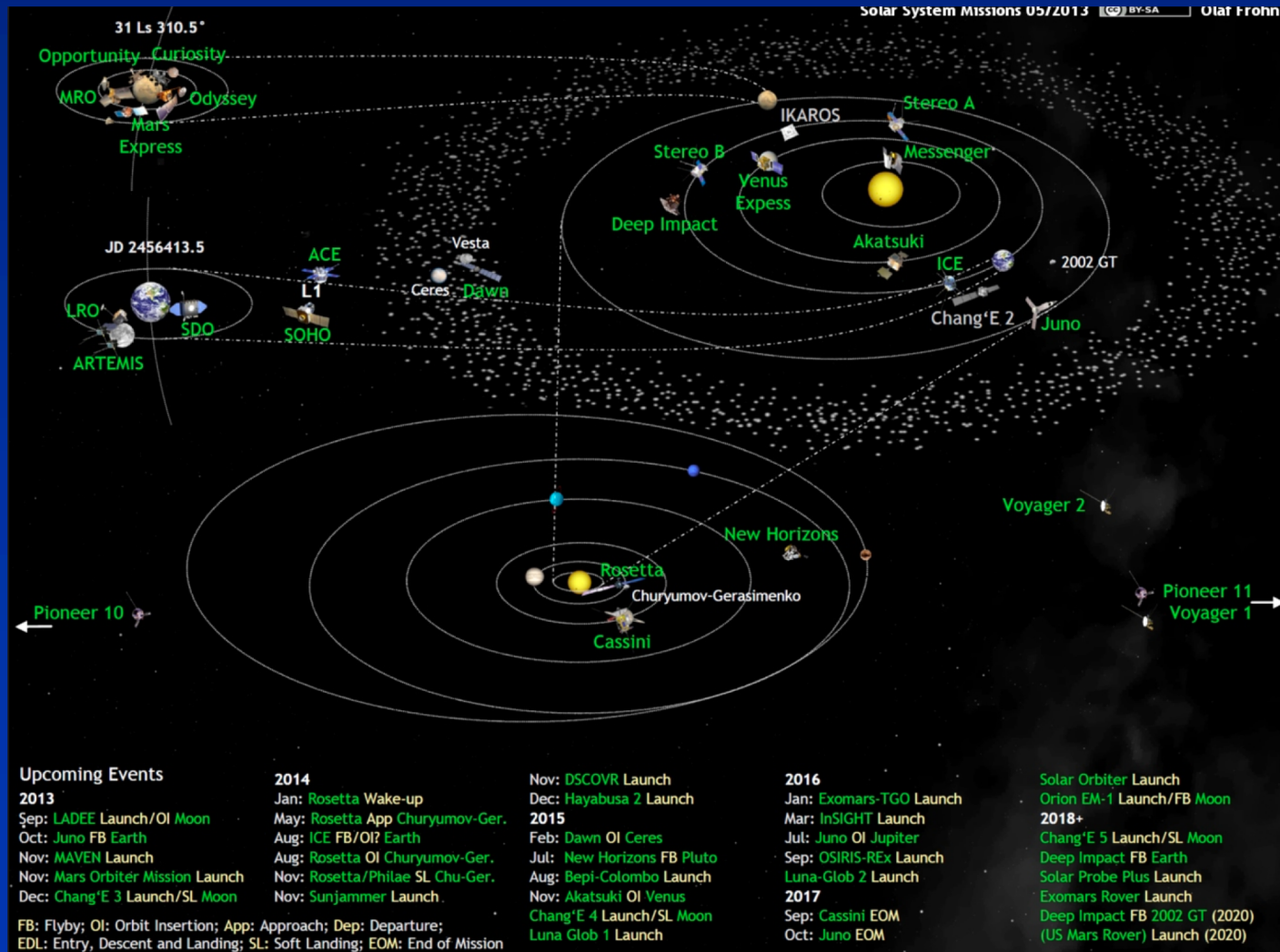
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An Instrument of Space Science Research

- Answer key scientific questions such as
 - Are we alone in the universe?
 - How did the universe start?
- Support robotic missions
 - Explorations of the Moon, Solar system bodies and their moons
 - e.g., LRO, STEREO, Magellan, Mars rovers, Juno, Cassini, New Horizons, Voyager
 - Astrophysics studies of exoplanets, cosmic evolution
 - e.g., Kepler, TESS, SIRTf, JWST
- Support emerging human exploration



Science Missions Exploration

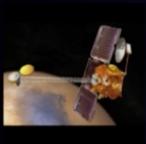


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Current & Future JPL Missions Development

Planetary Missions

Operational



Mars Odyssey
(2001)



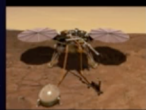
Mars Reconnaissance
Orbiter (2005)



Juno (2011)



Curiosity (2012)



InSight (2018)

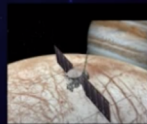
Formulation / Development



Mars (2020)



Psyche / DSOC (2022)



Europa Clipper (NLT 2025)

Astrophysics Missions

Operational



Two Voyagers
(1977)



WISE 2009
(Restarted for
NEOWISE 2013)



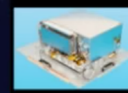
NuSTAR
(2012)



HAWC+ on
SOFIA (2016)

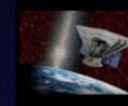


Cold Atom
Laboratory
(2018)



DSAC (2019) (1)

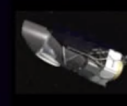
Formulation / Development



SPHEREx (2023)



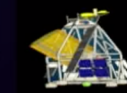
NEOSM (2025)



WFIRST
Coronagraph
(2025)



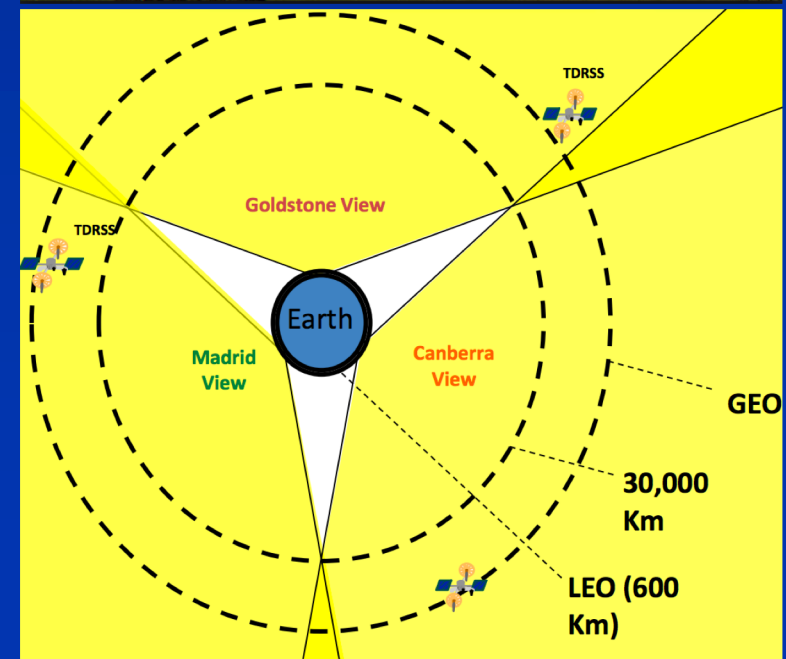
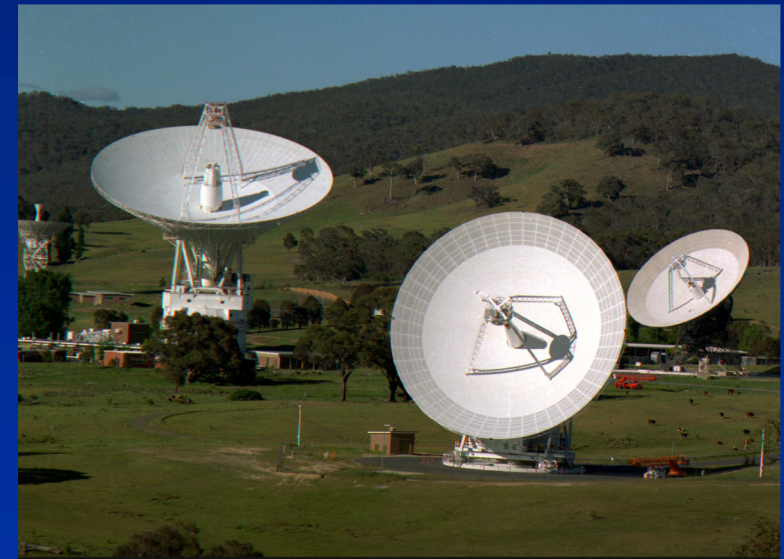
CASE (2028)



ASTHROS (2023)

Deep Space Communications Networks

- International space agencies
 - NASA, ESA, JAXA, etc.
 - Large aperture antennas (30-70 m)
 - Mission support
 - Mostly network centric
 - With some cross support
- Interplanetary spacecraft communications
 - Telemetry, Tracking and Command (TTC)
 - Science (Radio Science, Radar, Very Long Baseline Interferometry)



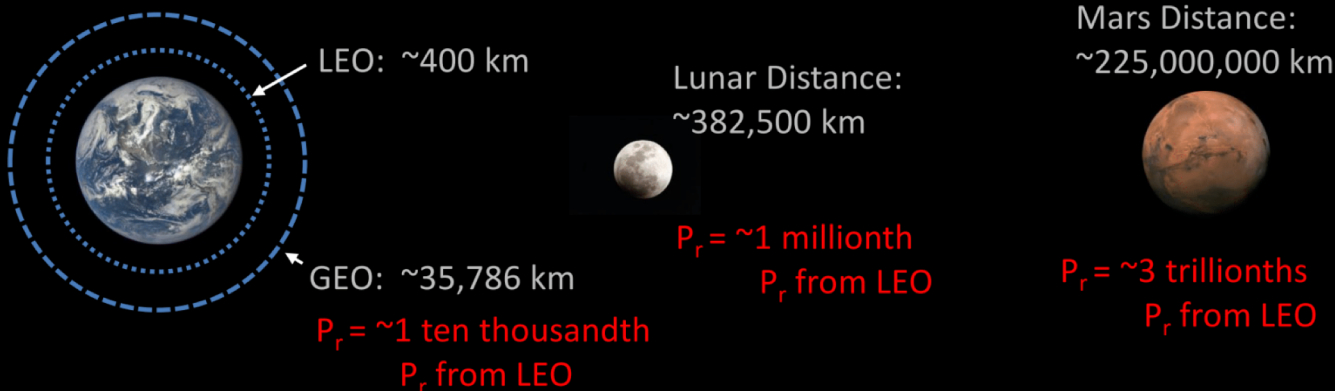
Challenge - Long Distance, Extreme Low Power

- Long distance communications
 - Lunar missions (0.002 AU) to Voyager at 140 AU



$$P_r = \frac{P_t G_t A_e}{4\pi R^2}$$

Received power is inversely proportional to the square of the distance.



D. Abraham, Working Toward More Affordable Deep Space Cubesat Communications: MSPA and OMSPA,
https://www.dropbox.com/sh/fx8auva239g0wx9/AADMzWa7wgXpl0KmmoFk2rgaa/D2-Abraham?dl=0&preview=ISSC2016_WorkingTowardAffordableCommunications_URS257550.pptx#

Technical Focus in Deep Space Communications

- Low-power communications require:
 - Large antenna with maximum G/T
 - Cryo-cooled LNA
 - Listen only vs. diplexed
 - Modulation & coding optimized for low power regime
 - Modulation: BPSK, QPSK
 - Coding: Convolutional, Reed Solomon, Concatenated, Turbo, Low-density parity check
 - Special operation:
 - MFSK for EDL
 - Beacon for long duration flight
 - Maximum EIRP for spacecraft emergency mode

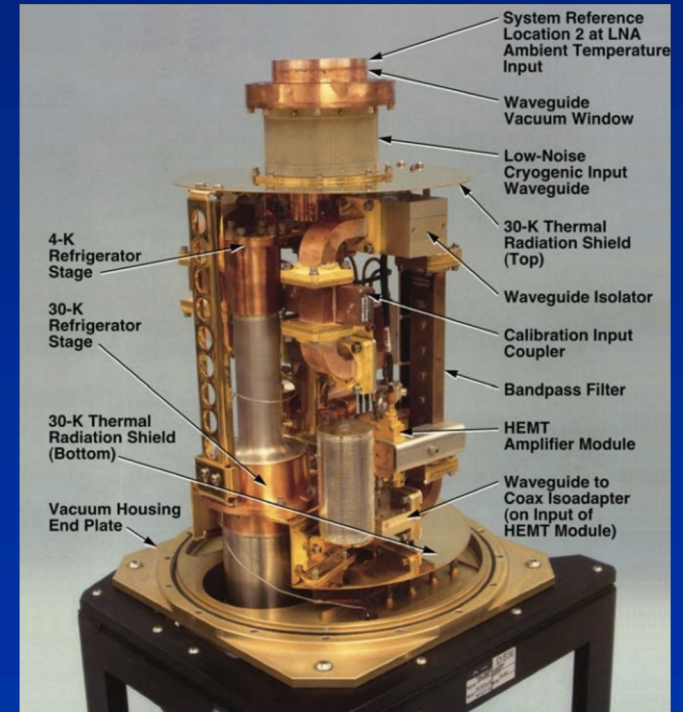
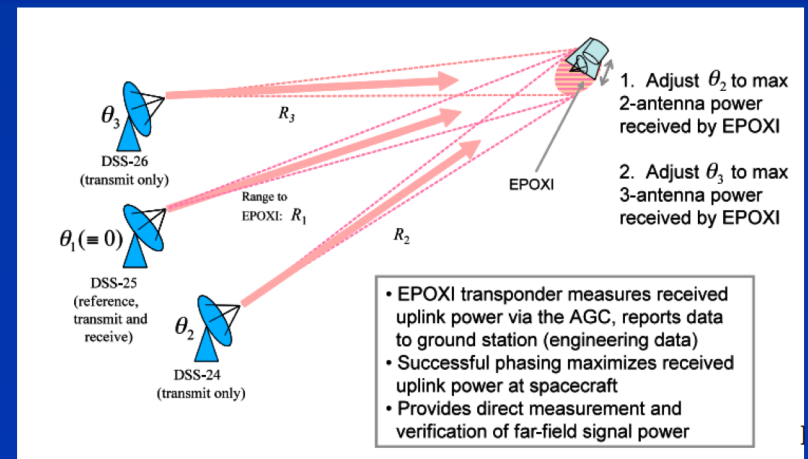
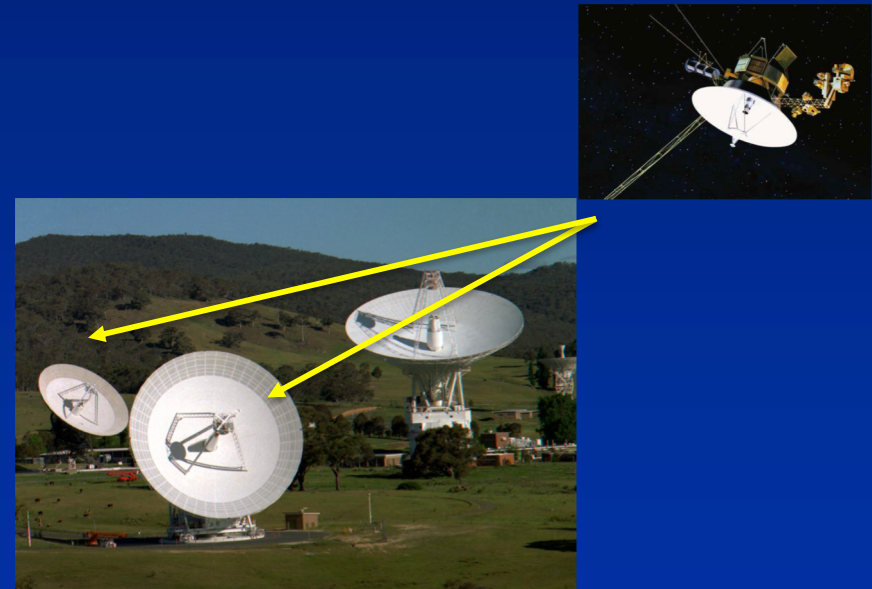


Fig. 2-12. Goldstone 70-m antenna XTR cone X-band HEMT amplifier.



Antenna Arraying to Aid Really Low Signal

- A way to enhance antenna aperture
 - Routinely used by Voyager, Spitzer, New Horizons
- Downlink array
 - 34-m/70-m arraying
 - Polarization combining
- Uplink array (R/D capability)
 - Gain proportional to N^2 instead of N (as with downlink)

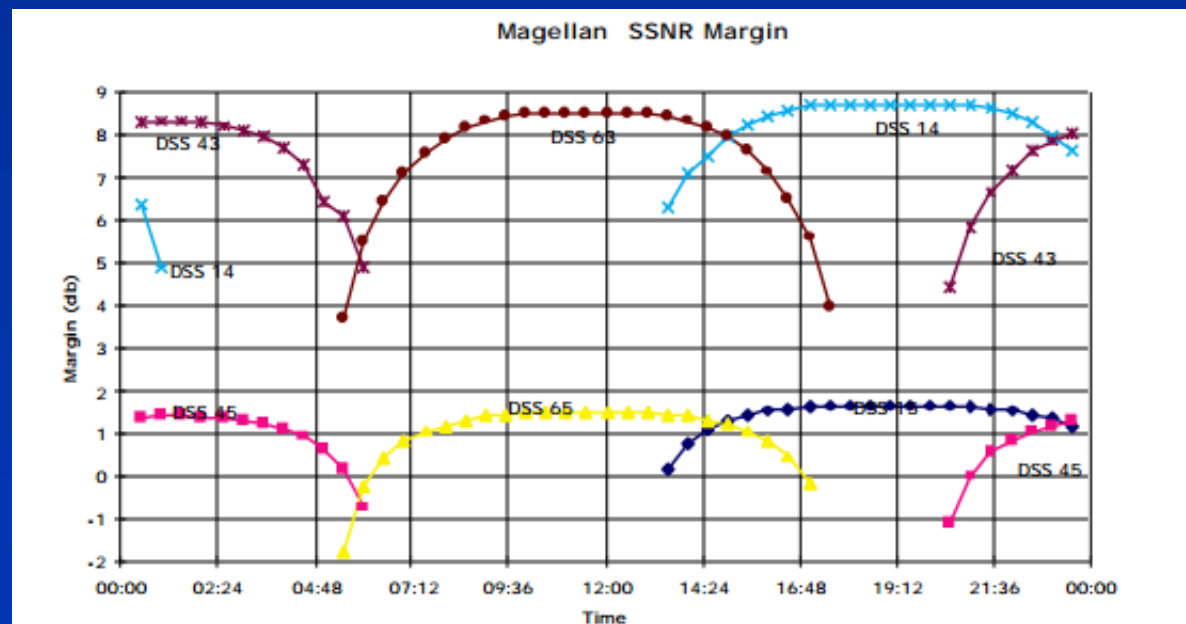


Ref.: Vilnrotter, Uplink Array Concept Demonstration with the EPOXI Spacecraft, IEEE Aerospace, 2009



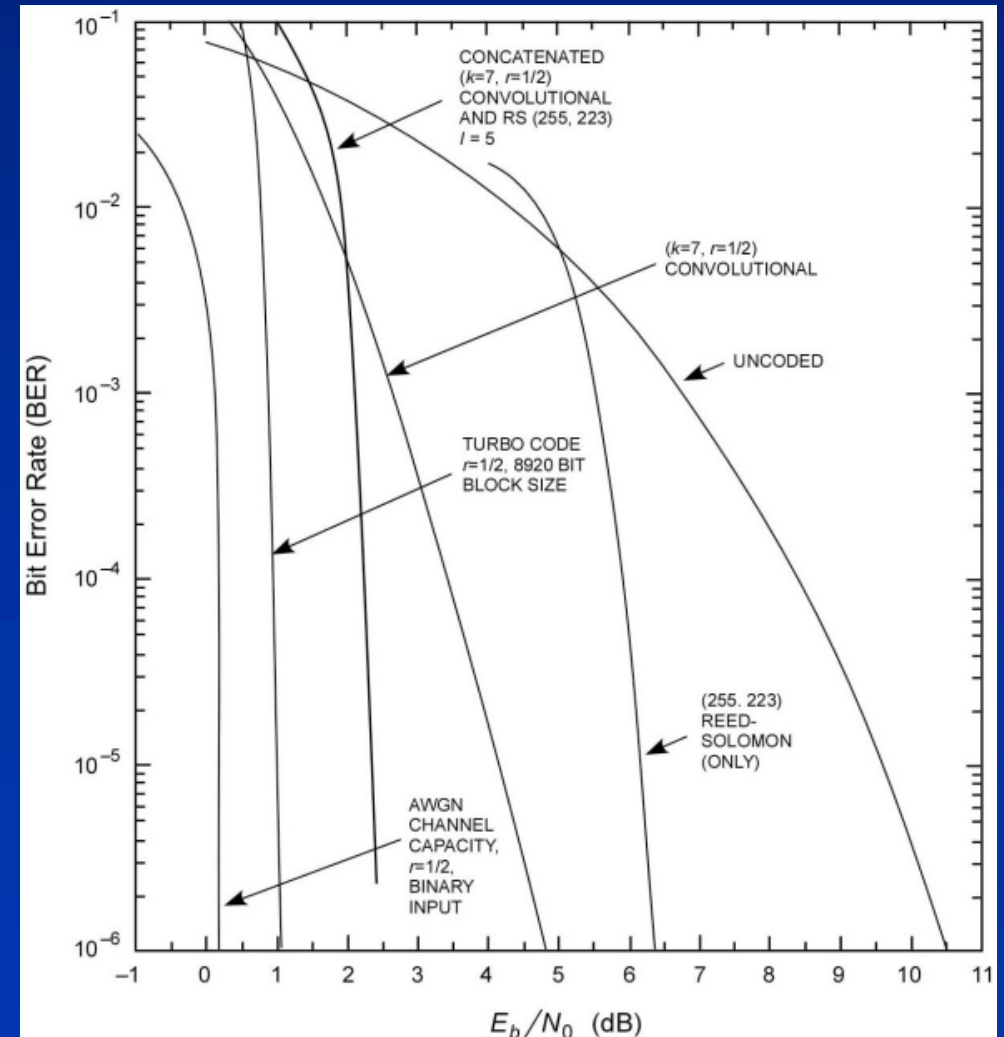
Maximizing Data Return via Adaptive Data Rate

- Adjusting data rate per available link margin during the pass
 - More important at higher operating frequency
 - Steeper curves
 - Higher performance with continual adjustment of data rate
 - Requiring more capable flight system



High Performance Coding

- Trading complexity (with lower processing rate) to gain better E_b/N_0 performance
 - Within 1 dB of AWGN channel capacity
 - Convolutional, Reed Solomon, Concatenated, Turbo, and Low-Density Parity Check codes



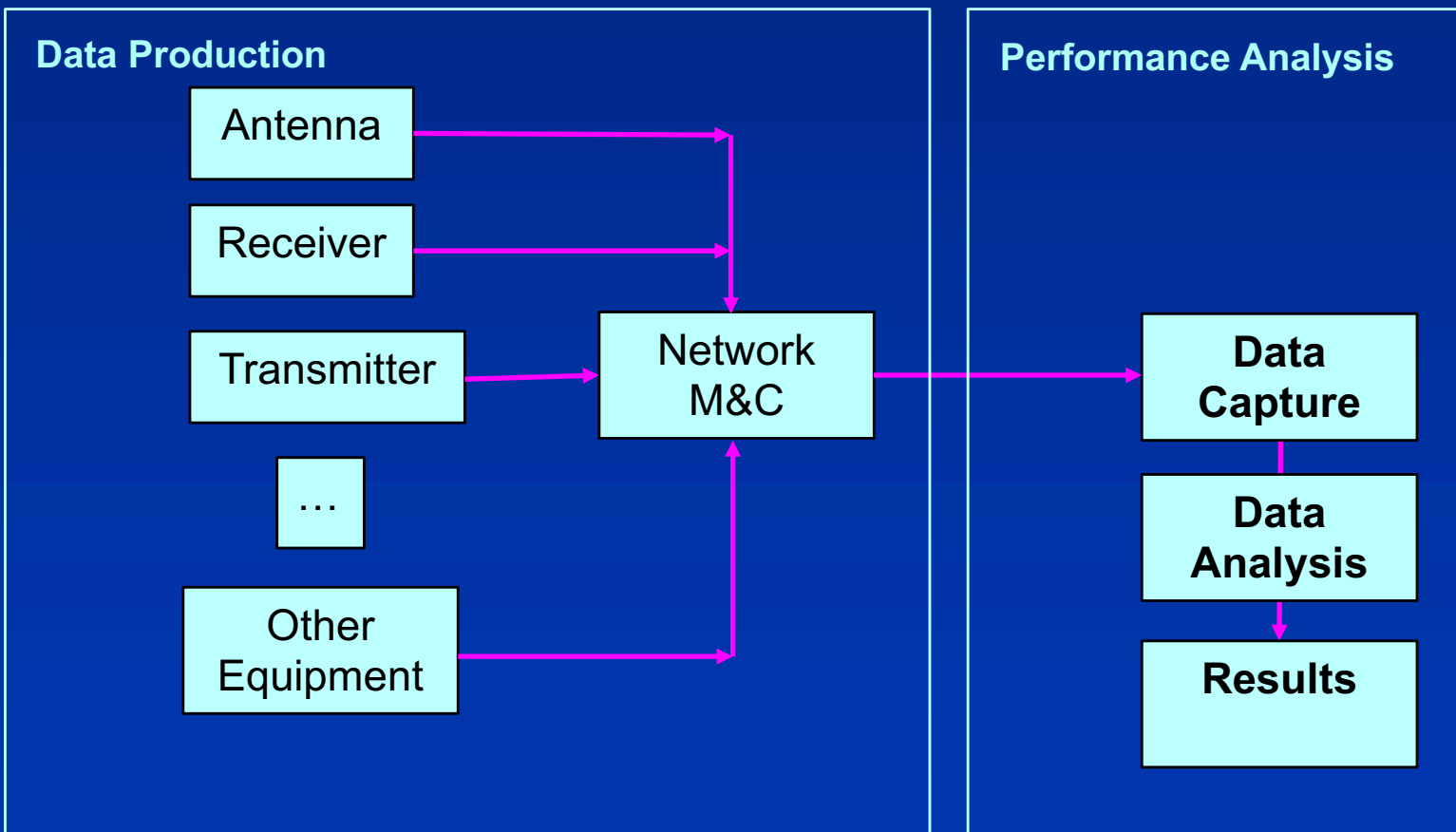
<http://deepspace.jpl.nasa.gov/dsndocs/810-005/208/208A.pdf>

2. Performance Analysis Processing

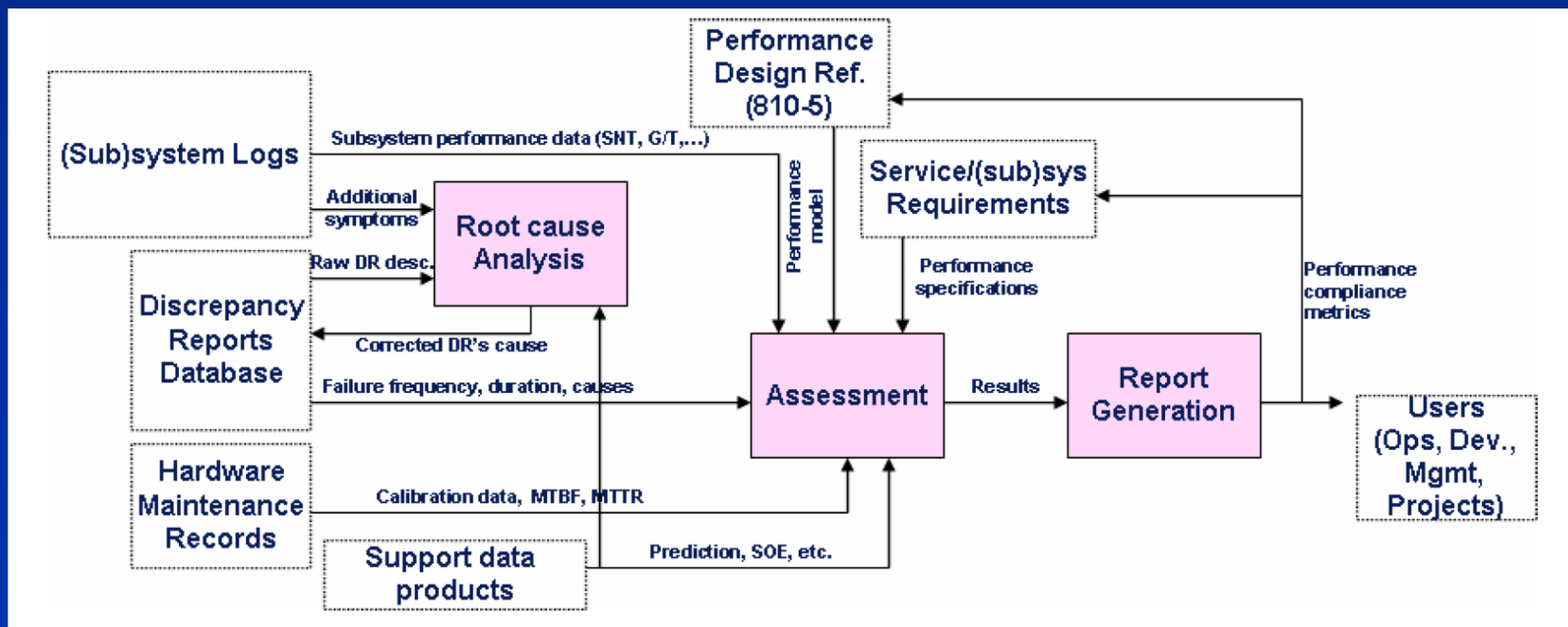


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Monitor Data Capture



Key Processing Functions



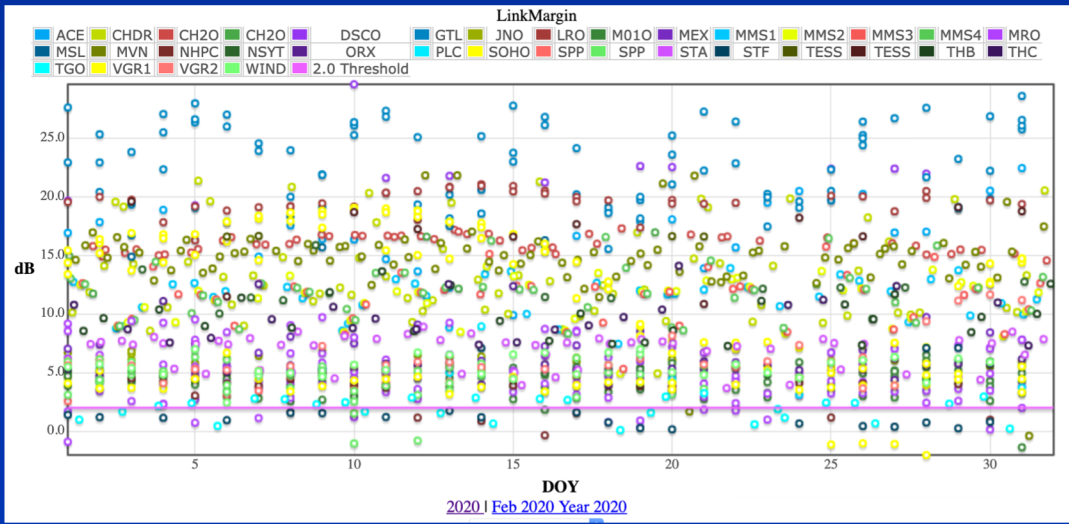
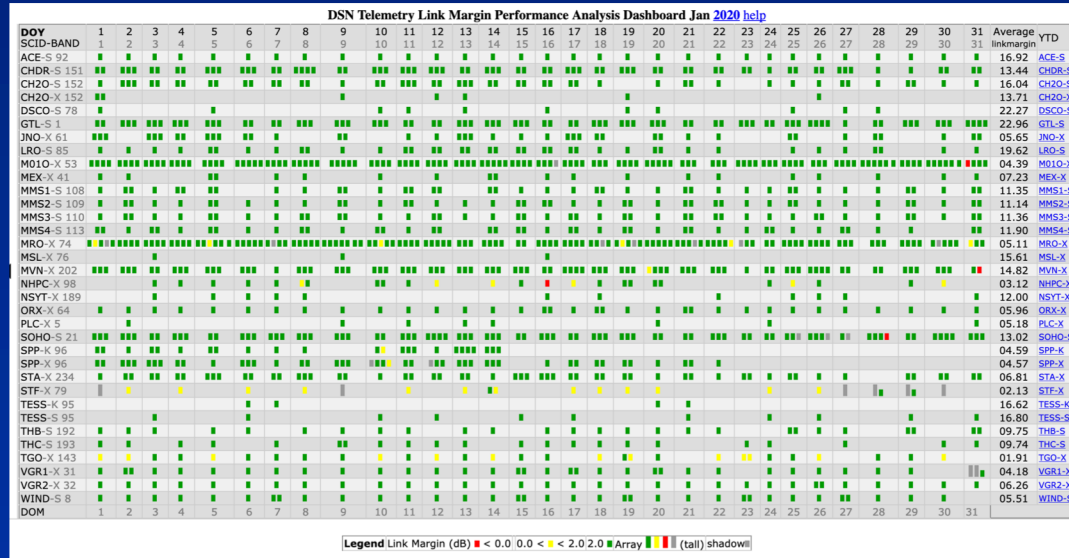
Key Metrics of Interest

- G/T (Gain/System Noise Temperature)
- Operating link margin
- Data accountability
- Frequency stability
- Link setup time
- Resource utilization, e.g., WAN bandwidth
- Etc.

Performance Dashboard

Dashboards	years	year 2019	year 2020
Data			
Linkmargin			
Linkmargin	2014 2015 2016 2017 2018	2019 1 2 3 4 5 6 7 8 9 10 11 12	2020 1 2 3 4 5 6 7 8 9 10 11 12 raw
70m	2014 2015 2016 2017 2018	2019 1 2 3 4 5 6 7 8 9 10 11 12	2020 1 2 3 4 5 6 7 8 9 10 11 12
Command Margin	2014 2015 2016 2017 2018	2019 1 2 3 4 5 6 7 8 9 10 11 12	2020 1 2 3 4 5 6 7 8 9 10 11 12
Accountability			
Doppler Accountability	2014 2015 2016 2017 2018	2019 1 2 3 4 5 6 7 8 9 10 11 12	2020 1 2 3 4 5 6 7 8 9 10 11 12 raw
Ranging Accountability	2014 2015 2016 2017 2018	2019 1 2 3 4 5 6 7 8 9 10 11 12	2020 1 2 3 4 5 6 7 8 9 10 11 12 raw
Delta-DOR Accountability	2014 2015 2016 2017 2018	2019 1 2 3 4 5 6 7 8 9 10 11 12	2020 1 2 3 4 5 6 7 8 9 10 11 12 raw
Telemetry Accountability	2014 2015 2016 2017 2018	2019 1 2 3 4 5 6 7 8 9 10 11 12	2020 1 2 3 4 5 6 7 8 9 10 11 12
Command Accountability	2014 2015 2016 2017 2018	2019 1 2 3 4 5 6 7 8 9 10 11 12	2020 1 2 3 4 5 6 7 8 9 10 11 12
Utilization			
Ranging Utilization		2018 2019 1 2 3 4 5 6 7 8 9 10 11 12	2020 1 2 3 4 5 6 7 8 9 10 11 12
Command Utilization		2018 2019 1 2 3 4 5 6 7 8 9 10 11 12	2020 1 2 3 4 5 6 7 8 9 10 11 12
Antenna Pointing			
Conscan	2014 2015 2016 2017 2018	2019 1 2 3 4 5 6 7 8 9 10 11 12	2020 1 2 3 4 5 6 7 8 9 10 11 12 raw query
Monopulse	2014 2015 2016 2017 2018	2019 1 2 3 4 5 6 7 8 9 10 11 12	2020 1 2 3 4 5 6 7 8 9 10 11 12 raw query
QQCL			
Frame Quantity Accountability		2018 2019 1 2 3 4 5 6 7 8 9 10 11 12	2020 1 2 3 4 5 6 7 8 9 10 11 12
Frame Quality Accountability		2018 2019 1 2 3 4 5 6 7 8 9 10 11 12	2020 1 2 3 4 5 6 7 8 9 10 11 12
Telemetry Latency (Timely)		2018 2019 1 2 3 4 5 6 7 8 9 10 11 12	2020 1 2 3 4 5 6 7 8 9 10 11 12
Telemetry Latency (Complete)		2018 2019 1 2 3 4 5 6 7 8 9 10 11 12	2020 1 2 3 4 5 6 7 8 9 10 11 12
Frame Gap (Continuity)		2018 2019 1 2 3 4 5 6 7 8 9 10 11 12	2020 1 2 3 4 5 6 7 8 9 10 11 12
System Noise Temperature			
SNT	2014 2015 2016 2017 2018	2019 1 2 3 4 5 6 7 8 9 10 11 12	2020 1 2 3 4 5 6 7 8 9 10 11 12 raw query
SNT New Threshold	2014 2015 2016 2017 2018	2019 1 2 3 4 5 6 7 8 9 10 11 12	2020 1 2 3 4 5 6 7 8 9 10 11 12 810-5
Radiometric			
Doppler Noise	2014 2015 2016 2017 2018	2019 1 2 3 4 5 6 7 8 9 10 11 12	2020 1 2 3 4 5 6 7 8 9 10 11 12 raw
Ranging Noise	2014 2015 2016 2017 2018	2019 1 2 3 4 5 6 7 8 9 10 11 12	2020 1 2 3 4 5 6 7 8 9 10 11 12 raw
Ranging Precal	2014 2015 2016 2017 2018	2019 1 2 3 4 5 6 7 8 9 10 11 12	2020 1 2 3 4 5 6 7 8 9 10 11 12 raw
Radio Science			
Amplitude Stability	2014 2015 2016 2017 2018	2019 1 2 3 4 5 6 7 8 9 10 11 12	2020 1 2 3 4 5 6 7 8 9 10 11 12 raw
Allan Deviation	2014 2015 2016 2017 2018	2019 1 2 3 4 5 6 7 8 9 10 11 12	2020 1 2 3 4 5 6 7 8 9 10 11 12 raw summary
Precal Time			
Precal Time	2014 2015 2016 2017 2018	2019 1 2 3 4 5 6 7 8 9 10 11 12	2020 1 2 3 4 5 6 7 8 9 10 11 12 raw
Signal Acquisition Time			
Signal Acquisition(nmclog)	2014 2015 2016 2017 2018	2019 1 2 3 4 5 6 7 8 9 10 11 12	2020 1 2 3 4 5 6 7 8 9 10 11 12 raw
Signal Acquisition (MIA)		2018 2019 1 2 3 4 5 6 7 8 9 10 11 12	2020 1 2 3 4 5 6 7 8 9 10 11 12

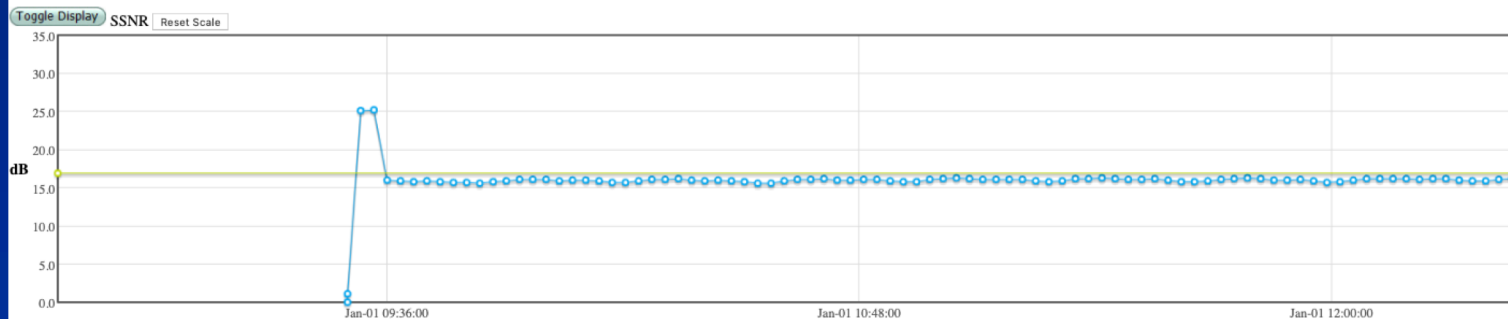
Sample Dashboard – Telemetry Link Margin



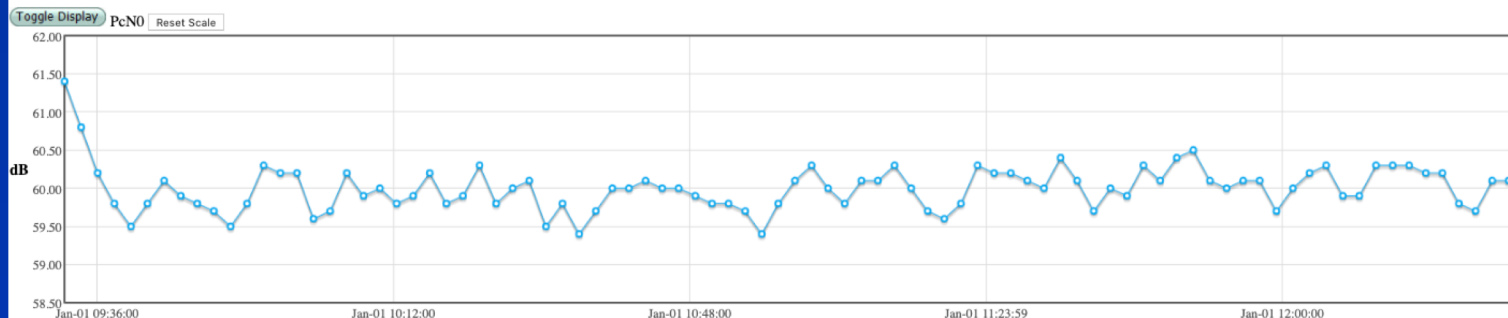
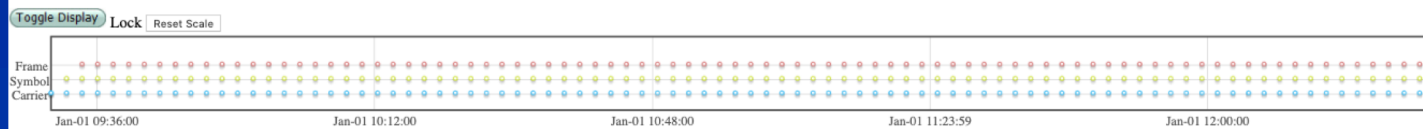
Sample - Key Metrics Within a Pass

Year 2020 DOY 1 DSS 65 SCID 92 [rawdata](#) [formatted](#) [nmclog](#)

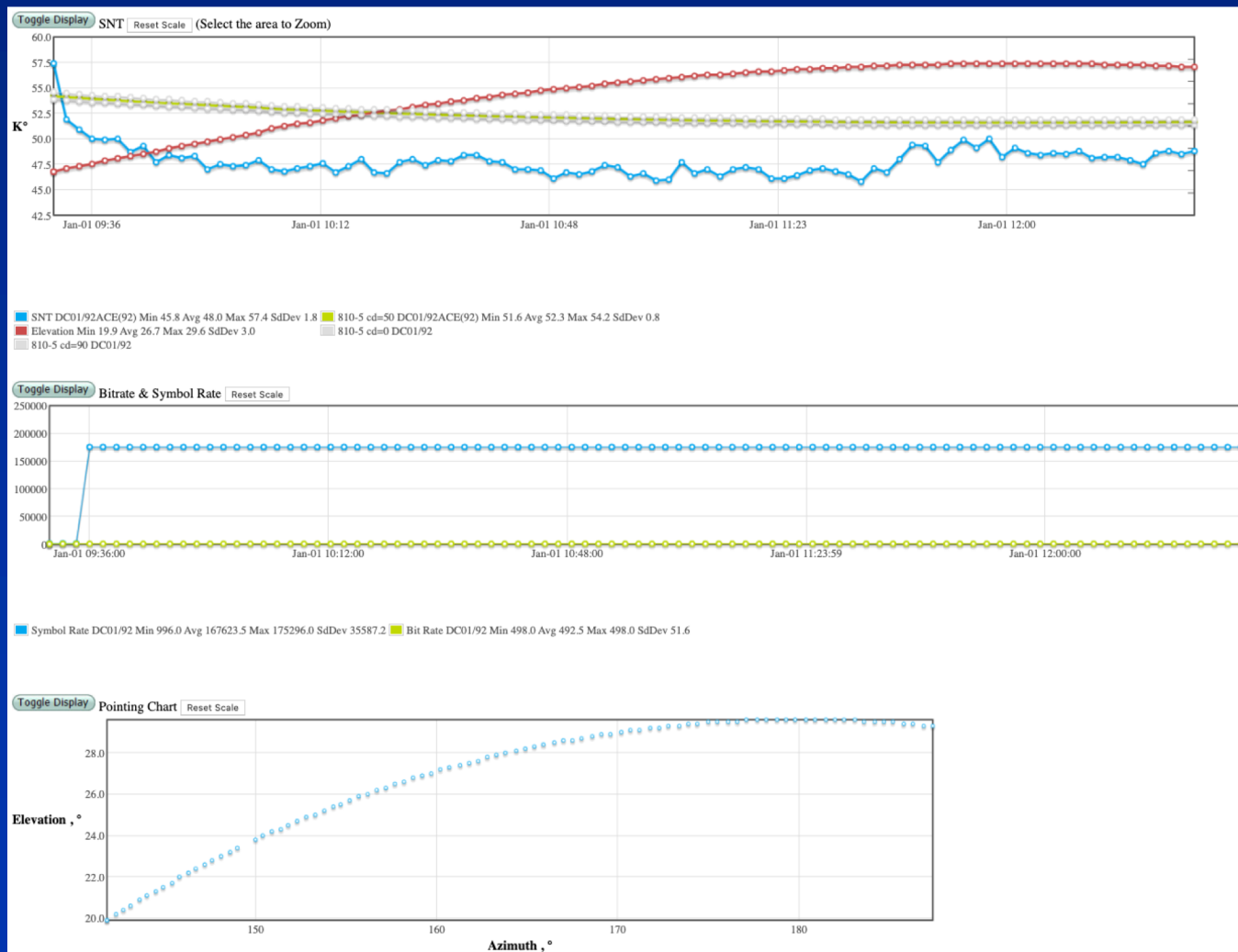
DSN Performance Analysis



■ SYMBOL SNR DC01/92 Min 1.1 Avg 15.9 Max 25.2 SdDev 2.7 ■ Link Margin 16.9 dB, Threshold -0.7 dB



Sample – Key Metric Within a Pass



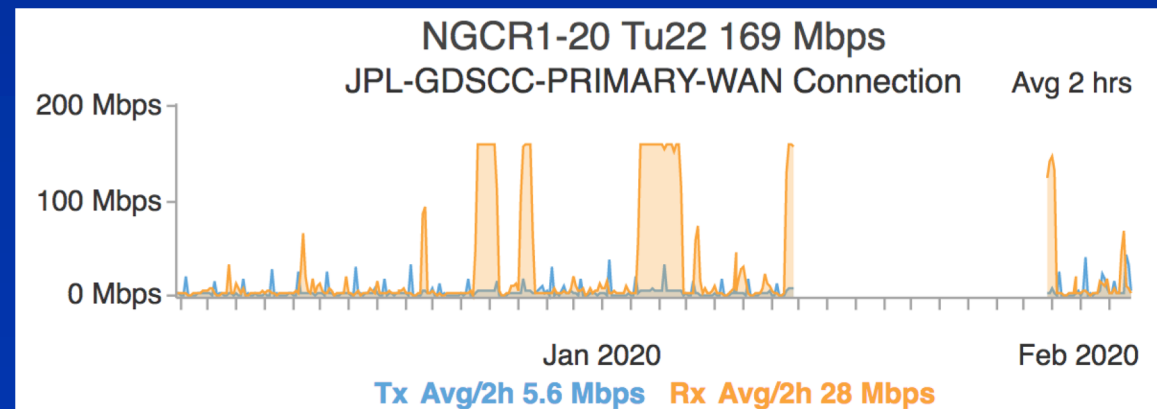
3. Expectation vs. Lessons Learned

Observations

- Some metrics monitoring are easy to process
 - Data accounting, WAN bandwidth usage
- Some metrics require moderate accounting logics
 - Service pre-cal time
- Some metrics require lots of logics
 - System noise temperature

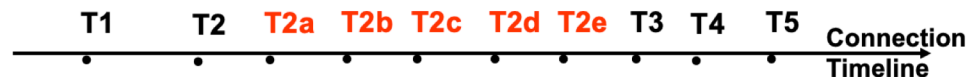
Easy-to-Process Metrics

- Data Accounting
 - # of telemetry frames successfully decoded/ # of expected frames downlink by spacecraft
- WAN bandwidth usage
 - Aggregated data flow / Line capacity



Metrics with Moderated Accounting

- Pre-track setup time
 - Account for possible idle time in sequence of
 - Putting equipment into a link
 - Calibrating equipment, e.g. transmitter
 - Safety paging prior to moving antenna
 - Moving antenna to on-point



T1 = Time connection log opened

T2 = Time pre-cal TDN started

T2a = Time of 'The start block is now running' message.

T2b = Time of 'Block "Cal Transmitter" is waiting for input' safety page message

T2c = Time 'Block "Cal Transmitter" received input' message

T2d = Time of 'Block "CNF Antenna" is waiting for input' safety page message

T2e = Time of 'Block "CNF Antenna" received input' message

T3 = Time of "Move Antenna to Point' block is now running' message

T4 = Time of antenna 'COMPLETED. RESM TRK' message

T5 = Time antenna 'On-Point' message

If $(T2 - T1) \leq 10$ minutes, connection Pre-Cal time = $(T5 - T1) - (T4 - T3)$

If $(T2 - T1) > 10$ Minutes, connection Pre-Cal time = $(T5 - T2 + 5 \text{ minutes}) - (T4 - T3)$

If $(T2a - T2) > 10$ minutes, subtract $(T2a - T2 - 5 \text{ minutes})$ from the above

If $(T2c - T2b) > 1$ minute, subtract $(T2c - T2b - 1 \text{ minute})$ from above

If $(T2e - T2d) > 1$ minute, subtract $(T2e - T2d - 1 \text{ minute})$ from above

Metrics Require Extensive Accounting

- G/T - Key parameter to monitor in comm system
 - Especially in deep space communications
- Gain (G) not measurable in typical spacecraft tracking pass
 - Leaving SNT (T) as monitored parameter available

Example - SNT Characterization

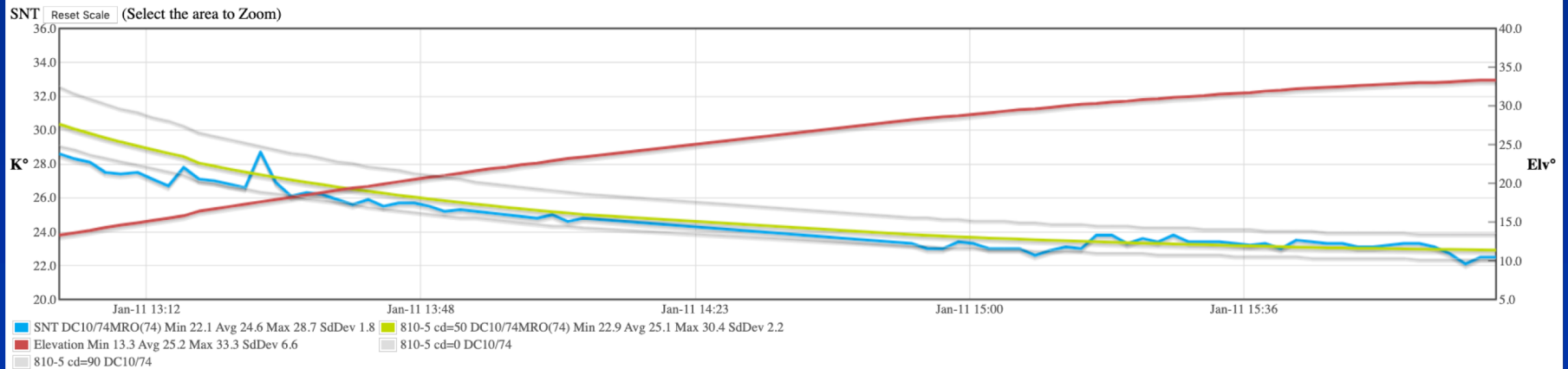
- SNT– dependent on many factors
 - Antenna pointing elevation
 - Listen-only vs. listen & transmit (diplexed)
 - Signal SNR
 - Not too weak, not too strong
 - Contribution from planetary body
 - Distinction of lunar orbiters
 - Weather effect, e.g., rain, heavy cloud
 - Erroneous reported measurements
 - Set to predicted or fixed value
 - Outdated noise diode calibration

Expectations

- Nominal behavior

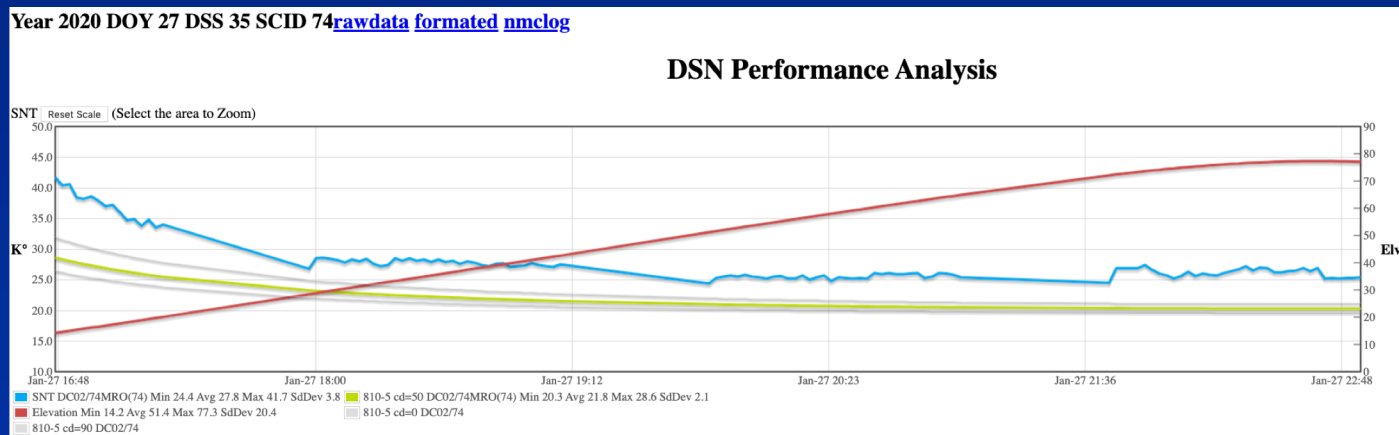
Year 2020 DOY 11 DSS 26 SCID 74 [rawdata](#) [formatted](#) [nmclog](#)

DSN Performance Analysis

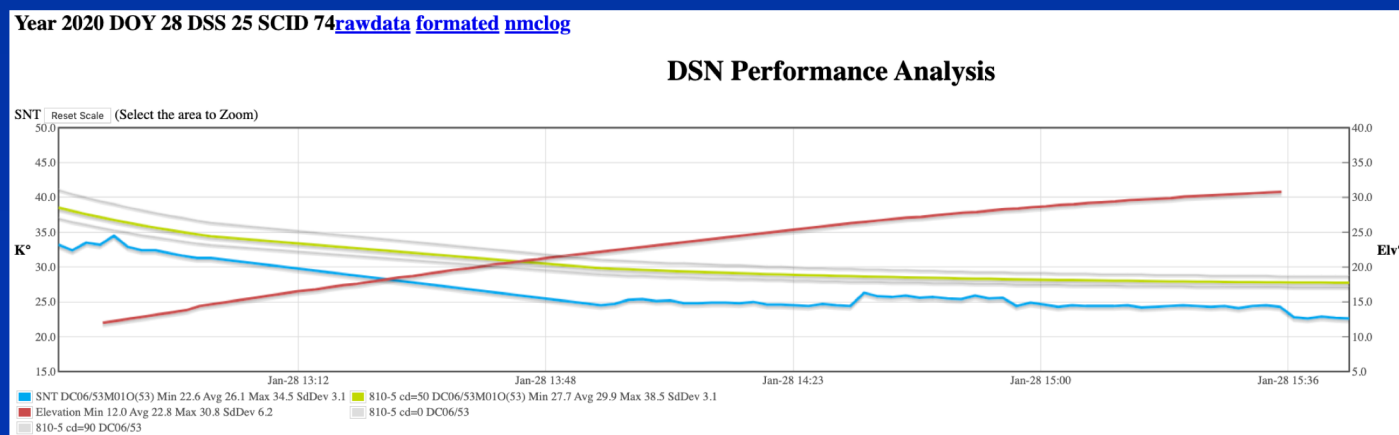


Unexpected Observations

- Higher than expected

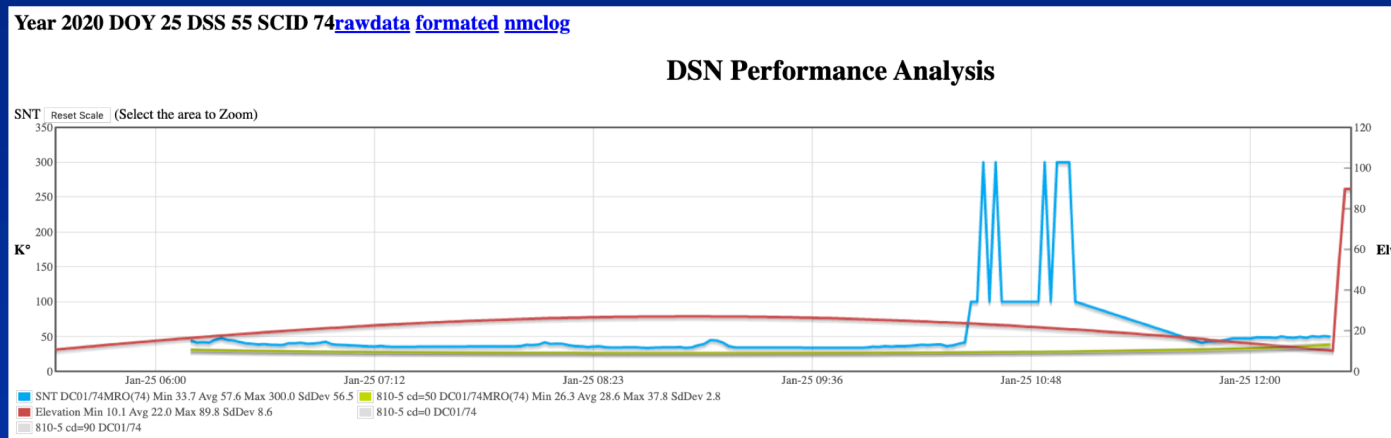


- Lower than expected



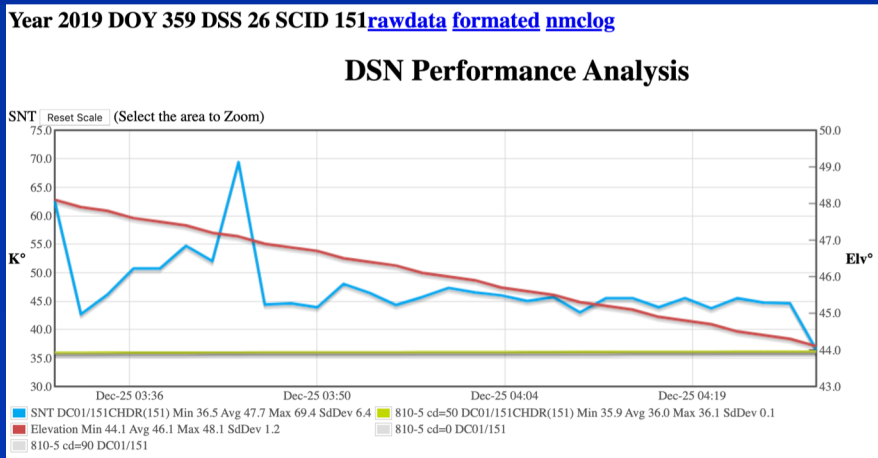
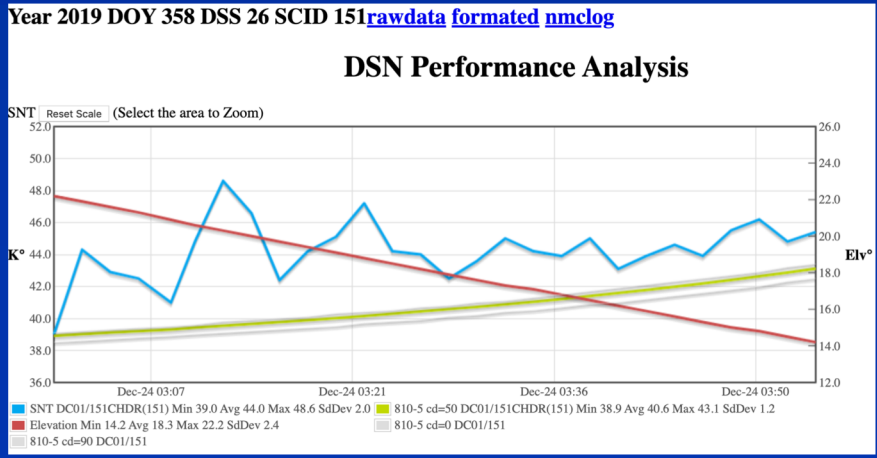
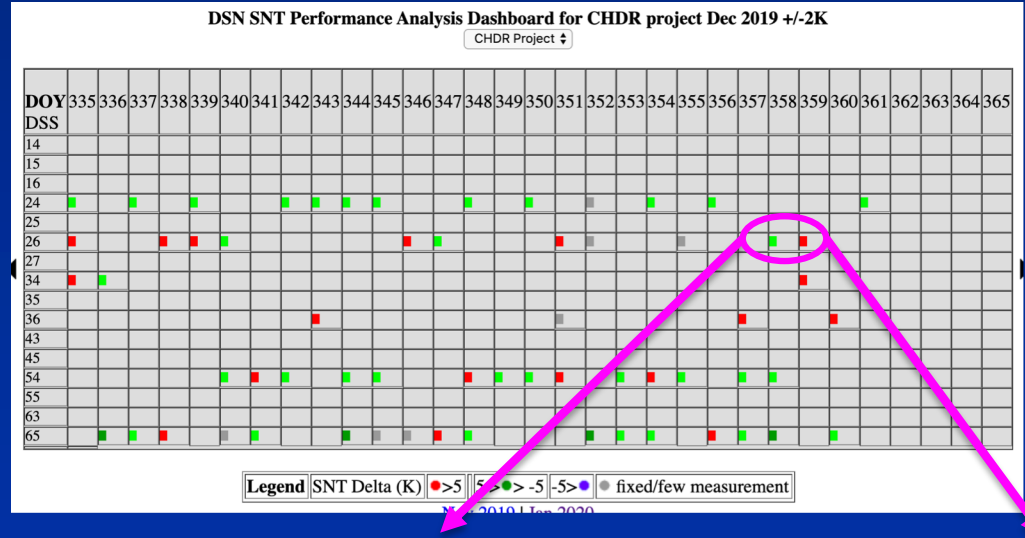
Unexpected Observations

- Sudden jump in mid pass



Unexpected Observations

- Inconsistent data from same spacecraft and same antenna



Approach Taken for SNT Analysis

- Exclude missions with high SNR
- Exclude lunar orbiters
- Exclude data with fixed SNT
- Group data in the same configuration (listen-only vs. duplexed)
- Exclude data with fast changing, high variation



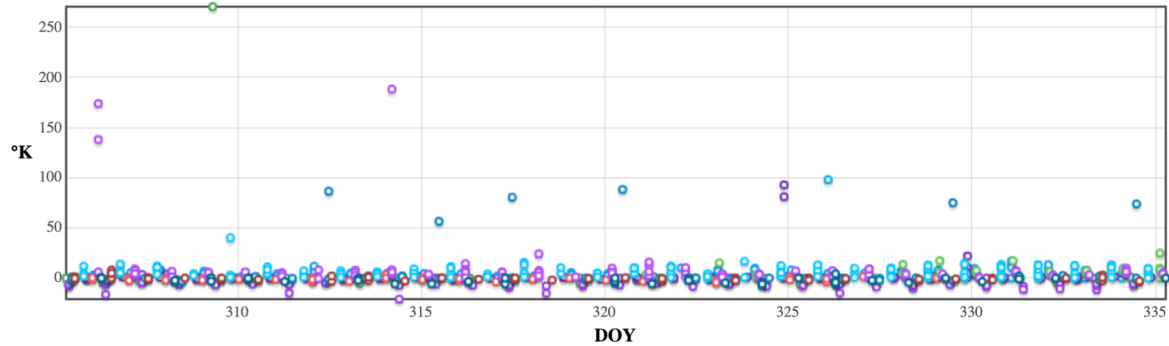
Results Before Exclusions

DSN SNT Performance Analysis Dashboard for X Band Nov 2019 Threshold SNT cd=90 +/- 2 K

X Band

DOY	305	306	307	308	309	310	311	312	313	314	315	316	317	318	319	320	321	322	323	324	325	326	327	328	329	330	331	332	333	334	% red	% purple	total pass	DSS	SNT diff	
14		■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	69% (61)	0%(0)	88	14	5.5
15																																0%(0)	0%(0)	0	15	
16																																0%(0)	0%(0)	0	16	
24	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	0%(0)	61% (19)	31	24	3.4
25	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	0%(0)	100% (62)	62	25	-6.9
26	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	11%(5)	9%(4)	44	26	7.6
27																																0%(0)	0%(0)	0	27	
34	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	5%(3)	8%(5)	63	34	-0.5
35																																0%(0)	0%(0)	0	35	
36	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	11%(8)	0%(0)	74	36	3.1
43	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	79% (107)	0%(0)	135	43	6.5
45																																0%(0)	0%(0)	0	45	
54	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	0%(0)	16%(5)	32	54	-1.2
55																																93% (27)	0%(0)	29	55	7.8
63	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	62% (75)	0%(0)	121	63	8.7
65	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	3%(1)	61% (20)	33	65	-2.6

Legend SNT Delta (K) ■ >SNT cd=90 +2 ■ < SNT cd=0 -2: SNT cd=90> ■ >SNT cd=0 ■ Fix Value/bad SNT
[Oct 2019](#) | [Dec 2019](#) Average SNT vs 810-5 reference



Graph Data
 ■ DSS14 ■ DSS15 ■ DSS16 ■ DSS24 ■ DSS25 ■ DSS26 ■ DSS27 ■ DSS34 ■ DSS35 ■ DSS36 ■ DSS43 ■ DSS45 ■ DSS54 ■ DSS55 ■ DSS63 ■ DSS65

Results Before and After Exclusions

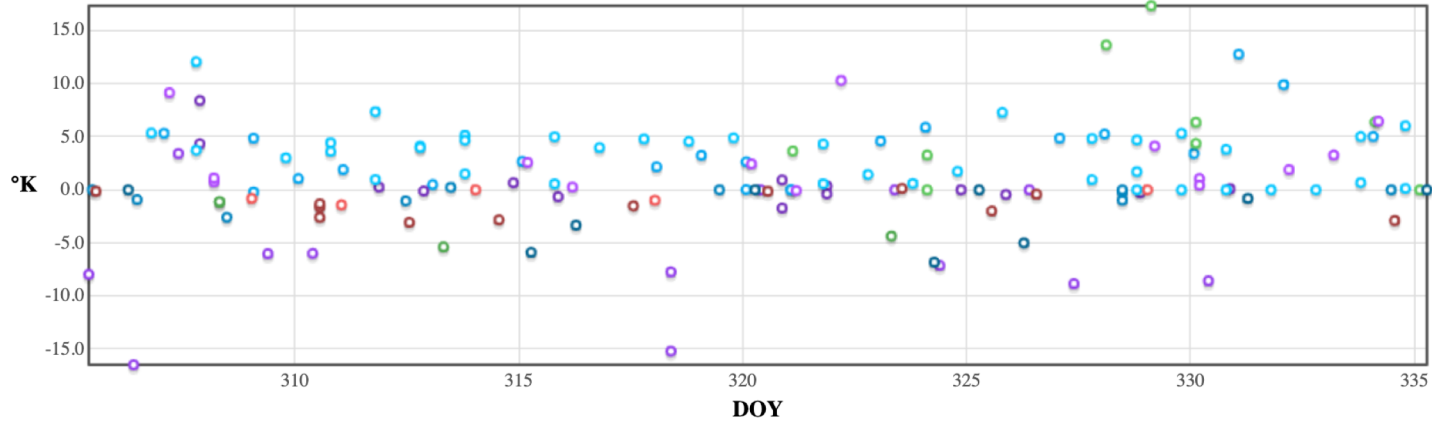
DSN SNT Performance Analysis Dashboard for X Band Nov 2019 +/- 2 K

X Band

DOY	305	306	307	308	309	310	311	312	313	314	315	316	317	318	319	320	321	322	323	324	325	326	327	328	329	330	331	332	333	334	% red	% purple	total pass	DSS	SNT diff	
14			■		■	■	■		■		■			■	■	■	■			■	■			■	■						50%(9)	0%(0)	18	14	3.6	
15																															0%(0)	0%(0)	0	15		
16																															0%(0)	0%(0)	0	16		
24				■	■																										0%(0)	50%(2)	4	24	-3.0	
25	■	■	■		■	■								■	■		■														0%(0)	100%(10)	10	25	-6.2	
26	■	■		■				■	■						■										■	■					0%(0)	0%(0)	6	26	-0.6	
27																															0%(0)	0%(0)	0	27		
34	■				■	■	■		■				■																		0%(0)	33%(4)	12	34	-1.5	
35																															0%(0)	0%(0)	0	35		
36		■	■					■	■							■	■									■					15%(2)	0%(0)	13	36	0.8	
43		■	■		■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	■	60%(21)	0%(0)	35	43	3.3
45																															0%(0)	0%(0)	0	45		
54					■									■																	0%(0)	0%(0)	3	54	-0.6	
55																								■	■	■	■				67%(4)	0%(0)	6	55	6.1	
63		■	■													■	■	■						■	■	■	■				21%(3)	0%(0)	14	63	3.1	
65	■																														0%(0)	60%(3)	5	65	-2.4	

Legend SNT Delta (K) ■ >SNT cd=90 +2 ■ < SNT cd=0 -2 SNT cd=90 ■ >SNT cd=0 ■ Fix Value/bad SNT

Oct 2019 | Dec 2019 Average SNT vs 810-5 reference



Graph Data

- DSS14
- DSS15
- DSS16
- DSS24
- DSS25
- DSS26
- DSS27
- DSS34
- DSS35
- DSS36
- DSS43
- DSS45
- DSS54
- DSS55
- DSS63
- DSS65

Lessons Learned

- Monitor data from operational systems has large variation compared to a well-calibrated data set
- Data cultivation, with subject expertise, is essential in system performance analysis
- Data visualization is important for observations of large data sets