# Keynote Speech

# SECURITY AND PRIVACY ON E-HEALTH APPLICATIONS

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### **Outlines**

#### Security on Online Applications

✓ Basic Security

Security Mechanisms with XML-based Security Standards

- Privacy on Online Applications
  - ✓ Threats

✓ Existing Solutions

- Privacy on e-Health applications
  - ✓ Challenges

Limitations and Requirements

Privacy-Preserving Online Monitoring Framework



# SECURITY ON ONLINE APPLICATIONS

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# **Online Services**

require end-to-end security for transactions that span multiple computers.

Interoperability become the most important to online services security

✓ Transmissions occur across multiple platforms at all times.

Web Services





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# Basic Security over HTTP

#### 

#### □ For **stronger** security

HTTP security should be used with other security technologies

– Ex) SSL and Kerberos.



# XML based Security Standard

- Basic authentication and authorization techniques are not sufficient to secure Web services transactions.
- □ For better interoperability and extensibility
  - Need to mitigate the security vulnerabilities of XML based applications
    - XML-based applications raise significant security concerns
      - Because XML documents are encoded in plan-text, rather than in a binary form

Online services products use a combination of security mechanisms implemented by using XML-based Security Standards



# 1) XML Signature

# defines an XML syntax for digital signatures ✓ Called XMLDSig, XML-DSig, or XML-Sig

```
<Signature Id="MyFirstSignature" xmlns="http://www.w3.org/2000/09/xmldsig#">
  <SignedInfo>
 <CanonicalizationMethod Algorithm="http://www.w3.org/2006/12/xml-c14n11"/>
 <SignatureMethod Algorithm="http://www.w3.org/2000/09/xmldsig#dsa-sha1"/>
  <Reference URI="http://www.w3.org/TR/2000/REC-xhtml1-20000126/">
   <Transforms>
     <Transform Algorithm="http://www.w3.org/2006/12/xml-c14n11"/>
   </Transforms>
   <DigestMethod Algorithm="http://www.w3.org/2000/09/xmldsig#sha1"/>
   <DigestValue>dGhpcyBpcyBub3QgYSBzaWduYXR1cmUK.../DigestValue>
  </Reference>
</SignedInfo>
  <SignatureValue>...</SignatureValue>
  <KeyInfo>
   <KeyValue>
     <DSAKeyValue>
       </DSAKeyValue>
   </KeyValue>
  </KeyInfo>
</Signature>
```



# 2) XML Encryption

#### □ An example of XML Encryption





### 3) XML Key Management Specification (XKMS)

- XML specification for registering and distributing encryption keys for Public Key Infrastructure (PKI) in Web services.
  - ✓ developed by Microsoft, VeriSign and webMethods
  - ✓ designed for use with XML Signature and XML Encryption.
- XKMS is comprised of two specification
  - 1) XML Key Information Service Specification (X-KISS)
    - The set of **protocols** that process key Information
    - located in an XML signature's Key-Info element
  - 2) XML Key Registration Service Specification (X-KRSS)
    - The set of certificate-management protocols that addresses the life of a digital certificate
      - From registration to revocation and recovery.



### 4) Security Assertion Markup Language (SAML)

- An standard for transferring authentication, authorization and permissions information over the Internet.
- developed by combining two computing XML security standard
  - 1) Securant Technologies' AuthXML
  - 2) Netegrity's Security Services Markup Language (S2ML)
- Also provides a method for single sign-on authentication and authorization
  - SAML-based applications can provide single sign-on across disparate site and platforms.



#### 5) Extensible Access Control Markup Language (XACML)

- A markup language that allows organizations to communicate their policies for accessing online information.
  - ✓ Developed by OASIS
  - ✓ defines
    - which clients can access information
    - what information is available to clients
    - when clients can access the information and
    - how client can gain access to information.



# PRIVACY ON ONLINE APPLICATIONS

Created upon the presentation of Lorrie Faith Cranor http://lorrie.cranor.org

# **Online Privacy Concerns**

#### Widespread Online Monitoring

- Data is often collected silently
- Web allows large quantities of data to be collected inexpensively and unobtrusively

#### Re-identification of User Data

- Data from multiple sources may be merged
  - Non-identifiable information can become identifiable when merged

#### Misuse of Data

 Data collected for business purposes may be used in civil and criminal proceedings

#### Application-centric Privacy Management

– Users given no meaningful choice





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## 1) Browsers

#### Browsers provide information about

- IP address, domain name, organization, Referring page,
- ✓ Platform: O/S, browser
- ✓ What information is requested
  - URLs and search terms
- ✓ Cookies

Disclose that information to anyone who might be listening

- ✓ End servers
- ✓ System administrators
- ✓ Internet Service Providers
- ✓ Other third parties
  - Advertising networks
- Anyone who might subpoena log files later



# 2) Cookies

#### □ Cookies can be useful

- ✓ Used like a staple to attach multiple parts of a form together
- Used to identify you when you return to a web site so you don't have to remember a password
- ✓ Used to help web sites understand how people use them

#### Cookies can do unexpected things

 Used to profile users and track their activities, especially across web sites



# Web Bug/Beacon

- Invisible "images" (1-by-1 pixels, transparent) embedded in web pages
  - ✓ cause referrer information and cookies to be transferred
  - ✓ Also called web beacons, clear gifs, tracker gifs, etc.
- Work just like banner ads from ad networks, but you can't see them unless you look at the code behind a web page

#### □ To detect web bugs

v ex) Bugnosis (http://www.bugnosis.org)



# 3) Data Merge

- Every time the same cookie is replayed to a site, the site may add information to the record associated with that cookie
  - ✓ Number of times you visit a link, time, date
  - ✓ What page you visit
  - ✓ What page you visited last
  - ✓ Information you type into a web form
- If multiple cookies are replayed together, they are usually logged together, effectively linking their data
  - ✓ Narrow scoped cookie might get logged with broad scoped cookie



# 4) Spyware

Software that employs a user's Internet connection to collect information without their knowledge or explicit permission

Most products use pseudonymous, but unique ID

- Over 50% known freeware and shareware products contain Spyware
- □ Often difficult to uninstall!
- Anti-Spyware Sites
  - <u>http://grc.com/oo/spyware.htm</u>
  - <u>http://www.adcop.org/smallfish</u>
  - <u>http://www.spychecker.com</u>
  - <u>http://cexx.org/adware.htm</u>



# 5) Online Monitoring Service

- Provides monitoring scripts that enable online service providers to track and record users' characteristics, data entered, and actions.
  - e.g.) mouse clicks, frequency of use, time spent in a particular page, media viewed, page navigation sequences, content entered into a textbox, location information, whether a mobile device is being used, and etc.

#### □Advantages

- 1) requires less time and effort to collect and analyze user/usage data
  - e.g.) Google Analytics and Adobe Analytics
- 2) widely used in a variety of online application areas
  - e.g.) e-commerce, information retrieval, e-health, and etc.



# SOLUTIONS

# 1) Privacy Policy

#### Policies let consumers know about site's privacy practices

- Consumers can then decide whether or not practices are acceptable
- ✓ The presence of privacy policies increases consumer trust

#### Privacy Policy Problem

- difficult to understand
- ✓ hard to find
- ✓ take a long time to read
- change without notice



# XML based Policy Languages

- Users and service providers can specify privacy preference.
  - ✓ Users APPEL or XPref, Service providers P3P
  - ✓ allow to describe
    - what kinds of user data might be monitored
    - what those data are used for
    - who those data will be shared with
    - how user data are maintained



### Platform for Privacy Preferences (P3P)

#### □ allows online applications to declare their privacy policies

- ✓ data types to be collected (Data)
- v usage of collected data (Purpose)
- consumers of user data (Recipient)
- ✓ permanence (*Retention*)
- accessibility of collected private data (Access)
- ✓ dispute resolution procedure (Disputes)

#### To specify data types

- *Dynamic* data schema
  - to specify data that do not have fixed values
  - e.g.) clickstream, http, clientevents, cookies, searchtext, and interactionrecord
- ✓ User data schema
  - to generally specify a user
  - E.g.) name, bday, gender, home-info, business-info, and login
- Third party data schema
  - to provide third party information
  - its data types are identical to those of the User data schema
- ✓ Business data schema
  - A subset of the User data relevant for describing legal policy entities.





### Platform for Privacy Preferences (P3P)





### A P3P Preference Exchange Language (APPEL)

enables users to express their privacy preferences

□ A complementary language to P3P

 used by browsers to make automated decisions regarding the acceptability of P3P policies of applications.

□ A user's policies are expressed in a set of *Ruleset* 

A RULE consists of a policy (p3p:POLICY) and a behavior (behavior).



# APPEL





# **XPref**

#### □ To overcome APPEL's drawbacks

- ✓ keeps APPEL's rule heads
- ✓ but replaces rule bodies with a condition attribute expressed by XPath

#### Contributions of XPref

- remove the ambiguity and complexity in APPEL's matching patterns
- ✓ enhance its expression power



### **XPref**

<RULESET> Able to specify what is unacceptable! <RULE behavior="block" condition="/POLICY/STATEMENT [ PURPOSE/\*[ name(.) = "individual-analysis"] and RECIPIENT/\* [ name(.) != "ours"] ]" /> <RULE behavior="request" condition="true"/> </RULESET>

<RULESET> Easy to express the acceptable combinations! <RULE behavior="request" condition="/POLICY [ every \$stmt in \$stmt/PURPOSE/\*, every \$purpose in @stmt/PURPOSE/\* satisfies (name(\$purpose) = "current" or name(\$purpose) = "pseudo-analysis" or (name(\$purpose) = "individual-analysis" and name(\$recip) = "ours")) ]"/> <RULE behavior="block" condition="true"/> </RULESET>



# 2) Privacy Guidelines

- Online Privacy Alliance <u>http://www.privacyalliance.org</u>
- Direct Marketing Association Privacy Promise <u>http://www.thedma.org/library/privacy/privacypromise.shtml</u>
- Network Advertising Initiative Principles <u>http://www.networkadvertising.org/</u>
- OECD fair information principles <u>http://www.oecd.org/dsti/sti/it/secur/prod/PRIV-en.HTM</u>

https://www.hhs.gov/hipaa



# 3) Seal programs

# ■ The third-party assurance privacy certification programs for online applications

- TRUSTe http://www.truste.org
- BBBOnline http://www.bbbonline.org
- CPA WebTrust http://www.cpawebtrust.org









# Seal programs

#### Problems

- Certify only compliance with stated policy
  - Limited ability to detect non-compliance
- Minimal privacy requirements
- ✓ Don't address privacy issues that go beyond the web site
- Nonetheless, reporting requirements are forcing licensees to review their own policies and practices and think carefully before introducing policy changes



# 4) Software tools

#### Encryption tools

- ✓ prevent others from listening in on your communications
  - File encryption
  - Email encryption
  - Encrypted network connections

#### □ Filters

- ✓ Cookie cutters
- Child protection software

#### Anonymity tools

- $\checkmark$  prevent your actions from being linked to you
  - Anonymizing proxies
  - Mix Networks and similar web anonymity tools
  - Anonymous email



# **Provider-side Privacy Protection**

#### Adchoices

 Third-party advertising companies have voluntarily begun to insert an 'Adchoices' icon into their ads

- to increase user awareness of online tracking.

But it has been found that the icon was not very effective

#### Privad

- ✓ A middleware approach
- ✓ to conceal a user's activities from an advertising network by

Huge overhead requirements

➔ The adoption of a proxy-based middleware may not be a feasible solution to small-size e-health applications

#### **Useless**

- if an e-health application requires identifiable user data

# User-side Protection: Browser-based

#### Adnostic

- ✓ A browser extension
- ✓ behavioral profiling and targeting in users' browsers
  - to select effective ads while not sending user data to third-party ad companies.

#### RePriv

- ✓ enables browsers to conduct user interest mining
- ✓ only share the resulting encapsulated interests with third-parties

Both have only focused on targeted advertising and personalization but have NOT considered online monitoring services.



## **User-side Protection: Browser-based**

#### opt-out cookies

✓ A simple and easy-to-use solution

Fragile

- they can be easily disabled or deleted by a third party

Setting a block list in a browser

✓ can effectively block malicious applications

Not support fine-grained blocking at the data level - currently this approach blocks any listed application in its entirety and does


### User-side Protection: Policy-based

#### Privacy Bird

- ✓ A P3P user agent
  - reads P3P policies of online applications and lets users know whether the application policies and user preferences are matched.
  - If policies are not matched, a bird icon turns red.
- ✓ A user can get information by clicking on a red bird icon.

Not allow users to check data being monitored at the data level and Not prevent unauthorized monitoring. - only able to check the acceptability of application's P3P policies



## SECURITY AND PRIVACY ON E-HEALTH

#### **Online Monitoring on e-Health Applications**

#### Application Domains

- ✓ Online healthcare education [10]
- ✓ Healthcare research [11]
- Healthcare interventions
- Disease prevention and self-management
- ✓ Health promotion [13]

#### Major Functionalities

- Self-assessment or self-profiling
  - to recognize individuals' health-related status
  - $\rightarrow$  provide personalized healthcare services
- Continuous communication with patients using interactive tools
  - e.g.) online trackers
- Wide dissemination of information related to health and safety



← Need to collect detailed, and often identifiable, user data including health information.





Protection of user privacy is critical

- e-health applications often deal with very sensitive private data, including health status, medical records, and family health histories.
- → Control over the sharing of this information is of the utmost importance and urgency



### Requirements for e-Health applications

- $\Box$  Privacy policy on patients' health data  $\rightarrow$  Health Data Schema
- □ Online monitoring services that are aware of user privacy policies rather than application policies → PPoM Service
- Verification methods to ensure that an application complies with policies mutually agreed by providers and users on user side
  PPoM Browser
- Enforcement methods to protect user privacy on user side
- Easy-to-use tools

→ PPoM Tool

#### Privacy-Preserving online Monitoring (PPoM)

- allows e-health applications to conduct trustworthy user monitoring
- enable patients to use e-health applications without privacy loss



## PRIVACY-PRESERVING ONLINE MONITORING

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## **PPoM Framework**

#### Overall Architecture





### 1. Health Data Schema

To avoid having inconsistent schemas across different patients and applications

□ aims to describe a patient's health status





### **HIPAA-compliant Privacy Policy**

# An extension of P3P to be a HIPAA-friendly policy language

✓ Privacy policy language to be used in e-health applications must

|   | Proposed P3P Extension  | HIPAA   |  |
|---|---|---|--|
| <access></access>   |   | HIPAA 164.524: A patient's access rights  |  |
|   | Existing: <nonident></nonident> , <all></all> , <none></none> , <contact-and-other></contact-and-other> , <ident-contact></ident-contact> , and <other-ident></other-ident> .   | compliant with HIPAA can be represented <hipaa-compliant-access></hipaa-compliant-access> . |  |
|   | Addition: <hipaa-compliant-access></hipaa-compliant-access>   |   |  |
| <de< td=""><td>IDENTIFIED&gt;</td><td>HIPAA 164.502: <deidentified> must be specified in case of policies for de-</deidentified></td></de<> | IDENTIFIED>   | HIPAA 164.502: <deidentified> must be specified in case of policies for de-</deidentified>  |  |
|   | <b>Addition</b> : This element is a new child element of <statement> and it is optional.</statement>  | identified PHI.   |  |
| <pu< td=""><td>RPOSE&gt;</td><td colspan="2">HIPAA 164.514: Health/HIIPAA-related purposes can be represented using newl</td></pu<>         | RPOSE>  | HIPAA 164.514: Health/HIIPAA-related purposes can be represented using newl                 |  |
|   | Existing: <current></current> , <admin></admin> , <develop></develop> , <tailoring></tailoring> ,<br><contact></contact> , <historical></historical> , <pseudo-analysis></pseudo-analysis> , <pseudo-<br>decision/&gt;, <telemarketing></telemarketing>, <individual-analysis></individual-analysis>, <individual-<br>decision/&gt;, and <other-purpose></other-purpose>.</individual-<br></pseudo-<br> | added four purposes.  |  |
|   | Addition: <public-health></public-health> , <research></research> , <healthcare-<br>operation/&gt;, and <healthcare-reference></healthcare-reference></healthcare-<br>  |   |  |

| _   |   |   |  |  |
|---|---|---|--|--|
| Proposed P3P Extension  |   | HIPAA   |  |  |
| <re< td=""><td>CIPIENT&gt;</td><td>Across HIPAA regulations:<br/>'Covered entity' in HIPAA is represented as</td></re<>                             | CIPIENT>  | Across HIPAA regulations:<br>'Covered entity' in HIPAA is represented as  |  |  |
|   | Existing: <ours>, <delivery>, <same>, <other-recipient>, <unrelated>, and <public>.</public></unrelated></other-recipient></same></delivery></ours>   | <pre><ours></ours></pre>  |  |  |
|   | Addition: <user> and <limited-dataset-recipient></limited-dataset-recipient></user>   |   |  |  |
| <re< td=""><td>TENTION&gt;</td><td colspan="3">HIPAA 164.502: An e-health application can represent HIPAA-abiding retention policy using</td></re<> | TENTION>  | HIPAA 164.502: An e-health application can represent HIPAA-abiding retention policy using   |  |  |
|   | Existing: <no-retention></no-retention> , <stated-purpose></stated-purpose> , <legal-<br>requirement/&gt;, <business-practices></business-practices>, and <indefinitely></indefinitely>.</legal-<br>  | <hipaa-compliant-retention> HIPAA 164.508: An e-health application can represent expiry dates and events of PHI</hipaa-compliant-retention>                       |  |  |
|   | Addition: <hipaa-compliant-retention></hipaa-compliant-retention>   | using 'expiry-date' and 'expiry-event'.   |  |  |
|   | <b>Modification</b> : <retention> has two optional attributes,<br/>expiry-date and expiry-event.</retention>  |   |  |  |
| NUALAZ  |   | Across HIPAA regulations:<br>For health data, we should specify <health></health>   |  |  |
|   | Existing: Categories are <b><health></health></b> , <physical></physical> ,<br><online></online> , <uniqueid></uniqueid> , <purchase></purchase> , <financial></financial> ,<br><computer></computer> , <navigation></navigation> , <interactive></interactive> ,<br><demographic></demographic> , <content></content> , <state></state> , <political></political> ,<br><preference></preference> , <location></location> , <government></government> , and <other-<br>category/&gt;.</other-<br> | as a data category.<br>In addition, a value of a <i>ref</i> attribute of a<br><data> must start with <b>"#health"</b> to refer the<br/>Health data schema.</data> |  |  |
|   | Addition: The Health data schema to be referred   |   |  |  |
|   | VIRGINIA MILITARY INSTITUTE<br>computer and information sciences  | Copyright 2016 Youna Jung   |  |  |

#### 2. PPoM Service

#### □ gathers only authorized data that users allow to monitor.

✓ By specifying user policies, patients can determine which data can be monitored  $\rightarrow$  User policies are enforced by the PPoM service.

#### [ELEMENT\_ID|ELEMENT\_PATH] [EVENT\_TYPE] [TIME] [DATA\_ TYPE] [DATA] [DEVICE\_INFORMATION]

- *ELEMENT ID*: It is a unique ID of a HTML element.
- *ELEMENT\_PATH*: In case of dynamic webpages, a path from the root element is used as an ID if an element does not have ID. The path is unique for each element.
- *EVENT\_TYPE*: It denotes that a type of an event occurred. The set of event types are as follows: {*entering a page, leaving a page, clicking an element, filling an element*}.
- *TIME*: It denotes the occurring time of an event
- DATA\_TYPE: It is a type of monitoring data and must be specified based on the data types in the P3P data schema and the HIPAA Profile.
- *DATA*: It is the value of the monitoring data.
- DEVICE\_INFORMATION: It includes a device's category, operating system, language, and browser information.



#### 3. PPoM Browser

 1) understands user policies, 2) presents all data being monitored, and 3) protects user privacy on the user side by blocking outgoing messages which contain data a user does not want to disclose.

| get started please tell us your health status   |  |
|---|--|
| Current Weight: 186 🗹 pounds switch to metric   |  |
| Height: 5 ✓ feet 9 ✓ inches         Blood Type: A ✓         Disease: Diabetes, Heart Disease ✓         How Active Are You?         ● Sedentary: I have a desk job and/or sit most of the day (secretary, computer         ● Lightly Active: I stand a lot of the day (nurse, teacher)         ● Active: I move around a lot throughout the day (courier, waiter)         ck to Contir | <ul> <li>Height</li> <li>Weight</li> <li>Hearing Acuity</li> <li>Visual Acuity</li> <li>Blood Type</li> <li>Blood Pressure</li> <li>Blood Sugar Level</li> <li>Cholesterol Level</li> <li>Disabilities</li> <li>Allergies</li> <li>Lab Tests</li> <li>Medication</li> <li>Disease History</li> <li>Family Medical History</li> <li>Immunication History</li> </ul> |



### 4. PPoMT

VIR

helps non-IT health professionals specify privacy policies and easily convert their existing applications into privacy-preserving applications.

| started please tell us y                        | Privacy Poli   | cy For TextBox (#txtDise   | ase)  |   |
|---|--|--|---|---|
| Irrent Weight:                                  | Consequence We collect an disease history of patient.  |  |   |   |
| eight:feet<br>ood Type:<br>sease:               | Purpose<br><pre>courrent/&gt; contact/&gt; ctelemarketing/&gt; cpublic-health/&gt;</pre>   | <pre>     <admin></admin>     <br/>     <br/>     <br/>     <br/>     <br/>     <br/>     <br/>     <br/>     <br/>     <br>     <br>     <br>     <br/>     <b< th=""><th><pre><develop></develop> <pre><develop></develop> <pre><develop></develop> <pre><develop></develop> <pre><develop></develop> </pre></pre></pre></pre></pre></th><th><pre><tailoring></tailoring> <pre><rpre><rpre></rpre></rpre></pre></pre></th></b<></br></br></br></pre> <pre></pre> | <pre><develop></develop> <pre><develop></develop> <pre><develop></develop> <pre><develop></develop> <pre><develop></develop> </pre></pre></pre></pre></pre> | <pre><tailoring></tailoring> <pre><rpre><rpre></rpre></rpre></pre></pre>  |
| w Active Are You<br>Sedentary: I have a         | Non-Identifiable   | ◯ No   |   |   |
| Lightly Active: I stan     Active: I move arour | Recipient  | <pre>delivery/&gt;   <pre><pre><pre><pre><pre><pre><pre><pre></pre></pre></pre></pre></pre></pre></pre></pre></pre>  | <pre><same></same> <li><li><li><li><li><li><li><li><li><li></li></li></li></li></li></li></li></li></li></li></pre>   | <pre><other-recipient></other-recipient></pre>  |
| <u>:k to Continue</u>                           | Retention <pre> <no-retention></no-retention>  <indefinitely></indefinitely></pre>   | Stated-purpose/>✓ <hipaa-compliant-retention></hipaa-compliant-retention>  | <pre><legal-requirement></legal-requirement></pre>  | states - practices />   |
|   | Data <pre> delta: purchase/&gt; delta: purchase/&gt; delta: purchase/&gt; purchase/&gt; delta: purchase/&gt; purchase/ purchase/</pre> | <pre>&gt; <physical></physical> </pre> <financial></financial> <demographic></demographic> <preference></preference> <preference></preference> <preference></preference>   | <pre>&lt; <online></online> </pre> <computer></computer> <content></content> <location></location>  | <pre><uniqueid></uniqueid> </pre> <pre><uniqueid></uniqueid> </pre> <ur><navigation></navigation><state></state>.<other-category></other-category></ur> |
|   | Health Data S<br>height<br>blood-type<br>disabilities<br>disease-histo   | veight<br>blood-pressure<br>allergies<br>ry family-medical-history   | <ul> <li>hearing-acuity</li> <li>blood-sugar-level</li> <li>lab-tests</li> <li>immunization-history</li> <li>Set Policy Cancel</li> </ul>                   | <ul> <li>visual-acuity</li> <li>cholesterol-level</li> <li>medication</li> <li>andhealthcare-providers</li> </ul>                                       |



### Conclusion

- Security and privacy is one of the critical issues on e-Health applications
- □ For widespread use of e-health applications
  - Must provide proper methods for the security, especially for privacy preservation
  - Otherwise, people may keep hesitating to use e-health applications.
- Need to stay apprised of all security developments and update their systems regularly.



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- □ An extensive list of privacy surveys from around the world is available from *http://www.privacyexchange.org/iss/surveys/surveys.html.*







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